

**ABOUT THE TEST** FoundationOne®Heme is a comprehensive genomic profiling test designed to identify genomic alterations within hundreds of cancer-related genes in hematologic malignancies, sarcomas, and pediatric cancers.

**PATIENT**

DISEASE Soft tissue sarcoma (NOS)  
NAME  
DATE OF BIRTH  
SEX  
MEDICAL RECORD #

**PHYSICIAN**

ORDERING PHYSICIAN  
MEDICAL FACILITY  
ADDITIONAL RECIPIENT  
MEDICAL FACILITY ID  
PATHOLOGIST

**SPECIMEN**

SPECIMEN SITE  
SPECIMEN ID  
SPECIMEN TYPE  
DATE OF COLLECTION  
SPECIMEN RECEIVED

**Biomarker Findings**

**Microsatellite status - MSI-High**  
**Tumor Mutational Burden - TMB-High (40 Muts/Mb)**

**Genomic Findings**

*For a complete list of the genes assayed, please refer to the Appendix.*

<b>NTRK1</b> A107V - subclonal, rearrangement intron 6 <sup>†</sup>	<b>JAK2</b> amplification - equivocal <sup>†</sup>
<b>CD274 (PD-L1)</b> amplification	<b>KDM4C</b> amplification
<b>EGFR</b> amplification - equivocal <sup>†</sup>	<b>MITF</b> amplification
<b>PDCD1LG2 (PD-L2)</b> amplification	<b>NOTCH1</b> D1870N
<b>ATRX</b> T1582fs*24	<b>PAX5</b> loss
<b>CAD</b> V1226I	<b>PCLO</b> A915S - subclonal <sup>†</sup>
<b>CDKN2A/B</b> loss	<b>PRKDC</b> T1269M
<b>CTNNA1</b> R551Q	<b>PTPN11</b> V428M
<b>EPHA3</b> amplification	<b>SMARCA4</b> G1232D
<b>FANCD2</b> truncation intron 31	<b>TP53</b> R273H, R175H
<b>FOXP1</b> G433*, amplification	<b>ZMYM3</b> rearrangement exon 17

<sup>†</sup> See About the Test in appendix for details.

15 Therapies with Clinical Benefit  
0 Therapies with Lack of Response

24 Clinical Trials

**BIOMARKER FINDINGS**

**Microsatellite status - MSI-High**

10 Trials see p. 27

**Tumor Mutational Burden - TMB-High (40 Muts/Mb)**

10 Trials see p. 29

THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)
Pembrolizumab	Atezolizumab
	Avelumab
	Cemiplimab-rwlc
	Durvalumab
	Nivolumab
none	Atezolizumab
	Avelumab
	Cemiplimab-rwlc
	Durvalumab
	Nivolumab
	Pembrolizumab

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TRF#

GENOMIC FINDINGS	THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)
<p><b><i>NTRK1</i></b> - A107V - subclonal, rearrangement intron 6</p> <p>7 Trials see p. 34</p>	Larotrectinib	Crizotinib
<p><b><i>CD274 (PD-L1)</i></b> - amplification</p> <p>10 Trials see p. 31</p>	none	Atezolizumab Avelumab Cemiplimab-rwlc Durvalumab Nivolumab Pembrolizumab
<p><b><i>EGFR</i></b> - amplification - equivocal</p> <p>6 Trials see p. 33</p>	none	Afatinib Cetuximab Dacomitinib Erlotinib Gefitinib Lapatinib Panitumumab
<p><b><i>PDCD1LG2 (PD-L2)</i></b> - amplification</p> <p>10 Trials see p. 36</p>	none	Atezolizumab Avelumab Cemiplimab-rwlc Durvalumab Nivolumab Pembrolizumab



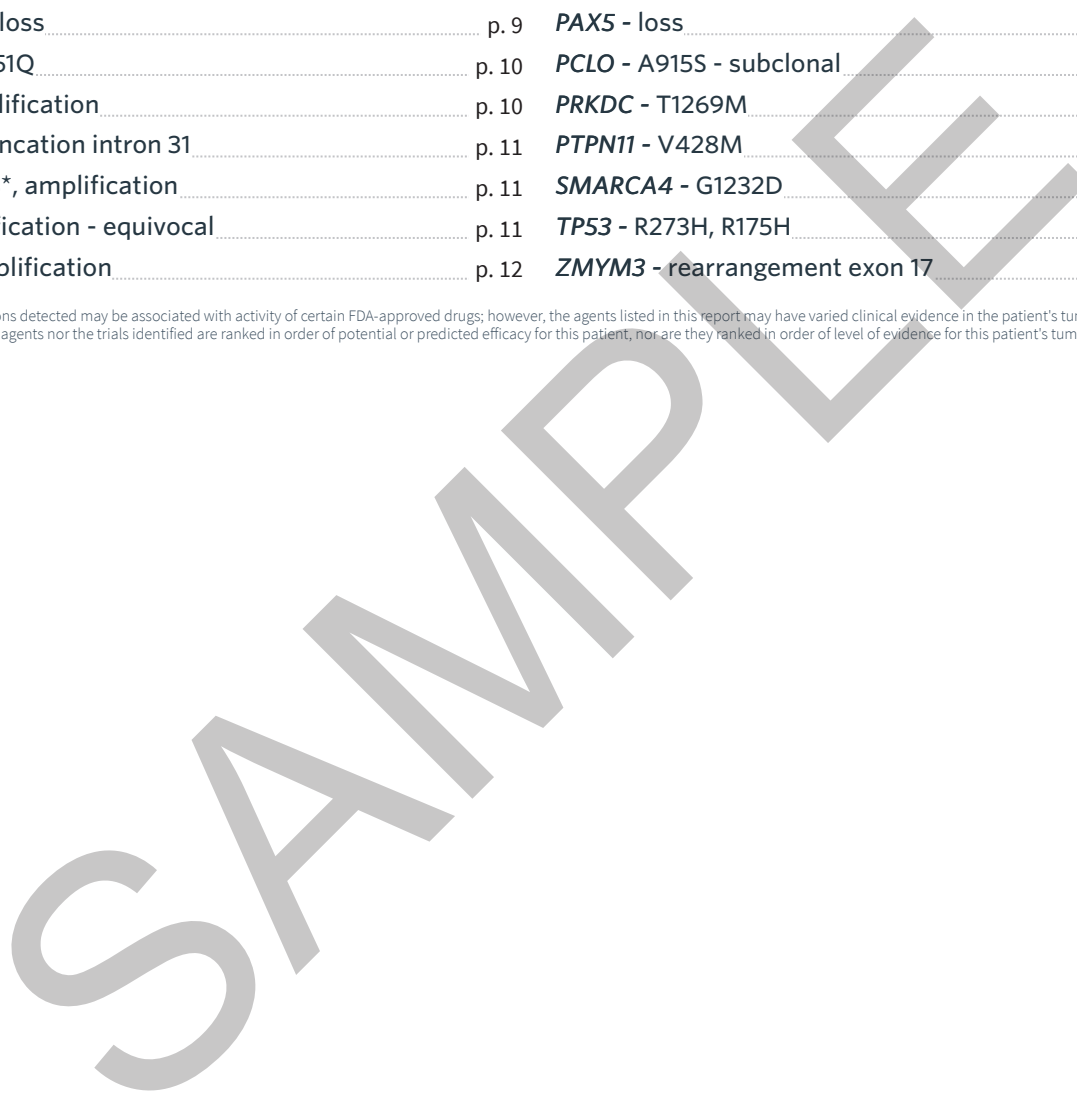
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**GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIALS OPTIONS**

*For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.*

<b>ATRX - T1582fs*24</b> .....	p. 8	<b>MITF - amplification</b> .....	p. 12
<b>CAD - V1226I</b> .....	p. 9	<b>NOTCH1 - D1870N</b> .....	p. 13
<b>CDKN2A/B - loss</b> .....	p. 9	<b>PAX5 - loss</b> .....	p. 13
<b>CTNNA1 - R551Q</b> .....	p. 10	<b>PCLO - A915S - subclonal</b> .....	p. 14
<b>EPHA3 - amplification</b> .....	p. 10	<b>PRKDC - T1269M</b> .....	p. 14
<b>FANCD2 - truncation intron 31</b> .....	p. 11	<b>PTPN11 - V428M</b> .....	p. 15
<b>FOXP1 - G433*, amplification</b> .....	p. 11	<b>SMARCA4 - G1232D</b> .....	p. 15
<b>JAK2 - amplification - equivocal</b> .....	p. 11	<b>TP53 - R273H, R175H</b> .....	p. 16
<b>KDM4C - amplification</b> .....	p. 12	<b>ZMYM3 - rearrangement exon 17</b> .....	p. 16

**NOTE** Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type. Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.



TRF#

BIOMARKER FINDINGS

**BIOMARKER**

**Microsatellite status**

**CATEGORY**

MSI-High

**POTENTIAL TREATMENT STRATEGIES**

On the basis of prospective clinical evidence in multiple solid tumor types, MSI and associated increased mutational burden<sup>1-2</sup> may predict sensitivity to anti-PD-1 and anti-PD-L1 immune checkpoint inhibitors<sup>3-4, 2,5-6</sup>, including the approved therapies nivolumab<sup>7-8</sup>, pembrolizumab<sup>9-10</sup>, atezolizumab, avelumab, and durvalumab<sup>3-4, 5</sup>.

**FREQUENCY & PROGNOSIS**

Reports of MSI in sarcomas in the literature are conflicting and varied due to substantial heterogeneity, lack of consensus on the markers and methods used for MSI assessment, and small sample size in most studies<sup>11</sup>. In a computational analysis of paired

tumor and normal sarcomas in the TCGA dataset, of which 40% were leiomyosarcomas and 25% were liposarcomas, only 0.8% (2/255) of samples were MSI-high (MSI-H)<sup>12</sup>. In smaller studies of soft tissue sarcoma, reports of MSI at any level have been rare, with the highest incidences between 11% (2/18) to 25% (10/40) of cases<sup>13-18</sup>. In one study, MSI was reported to occur more frequently in high-grade soft tissue sarcomas compared with lower grade<sup>19</sup>. However, the prognostic significance of MSI in sarcoma is unknown (PubMed, Jan 2018).

**FINDING SUMMARY**

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>20</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR

pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>20-22</sup>. This sample has a high level of MSI, equivalent to the clinical definition of an MSI-high (MSI-H) tumor: one with mutations in >30% of microsatellite markers<sup>23-25</sup>. MSI-H status indicates high-level deficiency in MMR and typically correlates with loss of expression of at least one, and often two, MMR family proteins<sup>20,22,24-25</sup>. While approximately 80% of MSI-H tumors arise due to somatic inactivation of an MMR pathway protein, about 20% arise due to germline mutations in one of the MMR genes<sup>20</sup>, which are associated with a condition known as Lynch syndrome (also known as hereditary nonpolyposis colorectal cancer or HNPCC)<sup>26</sup>. Lynch syndrome leads to an increased risk of colorectal, endometrial, gastric, and other cancers<sup>26-28</sup> and has an estimated prevalence in the general population ranging from 1:600 to 1:2000<sup>29-31</sup>. Therefore, in the appropriate clinical context, germline testing of MLH1, MSH2, MSH6, and PMS2 is recommended.

SAMPLE

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BIOMARKER FINDINGS

## BIOMARKER

## Tumor Mutational Burden

## CATEGORY

TMB-High (40 Muts/Mb)

## POTENTIAL TREATMENT STRATEGIES

On the basis of emerging clinical evidence, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-CTLA-4<sup>32</sup>, anti-PD-L1<sup>33-36</sup>, and anti-PD-1 therapies<sup>9-10,37</sup>; FDA-approved agents include ipilimumab, atezolizumab, avelumab, durvalumab, pembrolizumab, and nivolumab. In multiple solid tumor types, higher mutational burden has corresponded with response and improved prognosis. Pembrolizumab improved progression-free survival (14.5 vs. 3.4-3.7 months) for patients with non-small cell lung cancer (NSCLC) and higher mutational load (greater than 200 nonsynonymous mutations; hazard ratio = 0.19)<sup>10</sup>. In studies of patients with either NSCLC or colorectal cancer (CRC), patients whose tumors harbored elevated mutational burden reported higher overall response rates to pembrolizumab<sup>9-10,37</sup>. Anti-PD-1 therapies have achieved clinical benefit for certain patients with high mutational burden, including 3 patients with endometrial adenocarcinoma who reported sustained partial responses (PRs) following treatment

with pembrolizumab<sup>38</sup> or nivolumab<sup>39</sup>, a patient with hypermutant glioblastoma who obtained clinical benefit from pembrolizumab<sup>40</sup>, 2 pediatric patients with biallelic mismatch repair deficiency-associated ultrahypermutant glioblastoma who experienced clinically and radiologically significant responses to nivolumab<sup>41</sup>, and 2 patients with microsatellite-stable rectal cancers, 1 who achieved an ongoing PR to pembrolizumab and the other an ongoing complete response to nivolumab<sup>42</sup>. For patients with melanoma, mutational load was associated with long-term clinical benefit from ipilimumab<sup>32,43</sup> and anti-PD-1/anti-PD-L1 treatments<sup>34</sup>. For patients with metastatic urothelial carcinoma (mUC), those who responded to atezolizumab treatment had a significantly increased mutational load (12.4 mutations [mut] per megabase [Mb]) compared to nonresponders (6.4 muts/Mb)<sup>33</sup>, and mutational load of 16 muts/Mb or higher was associated with significantly longer overall survival<sup>35</sup>. In a retrospective analysis of 17 solid tumor types (comprised of 47% NSCLC, 40% mUC, and 13% encompassing 15 other solid tumors), a TMB of  $\geq 16$  muts/Mb associated with an objective response rate to atezolizumab of 30% vs. 14% for chemotherapy alone<sup>44</sup>.

## FREQUENCY &amp; PROGNOSIS

Soft tissue sarcomas harbor a median TMB of 2.5 mutations per megabase (mut/Mb), with angiosarcoma (13.4%) and malignant

peripheral nerve sheath tumor (MPNST) (8.2%) having the highest percentage of cases with high TMB ( $>20$  muts/Mb)<sup>45</sup>. Increased mutation burden has been reported in undifferentiated pleomorphic sarcomas as compared to Ewing sarcomas or rhabdomyosarcomas<sup>46-48</sup>. The association of mutational burden and prognosis of specific soft tissue sarcoma subtypes has not been extensively investigated in the literature (PubMed, Dec 2018).

## FINDING SUMMARY

Tumor mutational burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>49-50</sup> and cigarette smoke in lung cancer<sup>10,51</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>52-56</sup>, and microsatellite instability (MSI)<sup>52,55-56</sup>. This sample harbors a high TMB. This type of mutation load has been shown to be associated with sensitivity to immune checkpoint inhibitors, including anti-CTLA-4 therapy in melanoma<sup>32</sup>, anti-PD-L1 therapy in urothelial carcinoma<sup>33</sup>, and anti-PD-1 therapy in non-small cell lung cancer and colorectal cancer<sup>9-10</sup>, potentially due to expression of immune-reactive neoantigens in these tumors<sup>10</sup>.

TRF#

GENOMIC FINDINGS

## GENE

**NTRK1**

## ALTERATION

**A107V - subclonal,  
rearrangement intron 6****POTENTIAL TREATMENT STRATEGIES**

Clinical and preclinical data indicate that NTRK1 fusions predict sensitivity to TRK inhibitors<sup>57-66</sup> such as larotrectinib, entrectinib, AZD7451, belizatinib, PLX7486, and to the mutikinase inhibitors crizotinib and lestaurtinib. Larotrectinib is approved to treat patients with NTRK fusion-positive solid tumors based on significant clinical efficacy in that population. Analysis of combined data from several larotrectinib studies reported an ORR of 81% (88/109) in adult and pediatric patients with various solid tumors harboring NTRK fusions treated with larotrectinib; the responses were durable and CR was observed in 17% of patients<sup>65</sup>. Pooled analysis of 3 Phase 1/2 trials of entrectinib for adult patients with NTRK fusion-positive solid tumors reported an ORR of 57% (31/54), median PFS of 11.2 months, and median OS of 20.9 months<sup>67</sup>. Similar activity was observed for patients with NTRK1 fusions [ORR of 59% (13/22)] or patients with CNS metastasis [ORR of 55% (6/11)]<sup>67</sup>. Acquired resistance to larotrectinib and entrectinib due to the emergence of kinase domain mutations in NTRK fusions has been reported in some patients<sup>64-65,68-69</sup>. Next-generation TRK inhibitors in development, such as LOXO-195 and repotrectinib, have shown preclinical and clinical activity against

acquired NTRK resistance mutations<sup>68,70</sup>. Patients with NTRK1 fusions have also experienced clinical benefit from crizotinib, including a durable near CR<sup>60</sup> and a partial remission of lung masses<sup>61</sup> in patients with infantile fibrosarcoma harboring LMNA-NTRK1 fusions and a minor radiographic response in a patient with lung adenocarcinoma and an MPRIP-NTRK1 fusion<sup>57</sup>. As it is unclear if the rearrangement seen here results in expression of an oncogenic protein, it is not known whether these therapeutic approaches would be relevant. It is also not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

**FREQUENCY & PROGNOSIS**

NTRK1 fusions have been detected in multiple types of sarcomas including infantile fibrosarcoma<sup>58,66,71</sup>. In the Sarcoma MSKCC/Broad dataset, putative high-level amplification of NTRK1 has been reported in 4.8% of tumors<sup>72</sup>. NTRK1 mutations are rare in sarcomas, occurring in <1% of the samples analyzed in COSMIC (Dec 2018). TRKA expression has been demonstrated in some sarcoma subtypes such as osteosarcoma, Ewing sarcoma, and rhabdomyosarcoma<sup>73-75</sup>. In a preclinical study, overexpression of TRKA induced cell death in sarcoma and neuronal cancer cell lines<sup>76</sup>. Published data investigating the prognostic implications of NTRK1 alterations in sarcoma are limited (PubMed, Dec 2018). Two patients with infantile fibrosarcoma harboring LMNA-

NTRK1 fusion experienced a CR<sup>60</sup> or PR<sup>61</sup> in response to crizotinib.

**FINDING SUMMARY**

NTRK1 encodes the receptor tyrosine kinase TRKA, which plays a role in the development of the nervous system by regulating cell proliferation, differentiation, and survival of neurons. TRKA is activated upon binding of its ligand NGF to promote several downstream signaling pathways including GRB2-RAS-MAPK, NF-Kappa-B, and RAS-PI3K-AKT1<sup>77-80</sup>. NTRK1 fusions that include an N-terminal oligomerization-promoting partner gene linked to the kinase domain of TRKA (aa 510-781) have been characterized as activating, exhibiting constitutive kinase activity and tyrosine phosphorylation<sup>57-58,81-86</sup>. Certain NTRK1 rearrangements affecting the extracellular domain have also been shown to be activating and transforming<sup>80,87-89</sup>. NTRK1 rearrangements such as observed here that are detected as a reciprocal fusion, are not clearly in-frame, or may lack a fusion partner may be indicative of an activating rearrangement event, such as a fusion; however, it is unclear whether an oncogenic rearrangement is present and expressed in this case. Patients with NTRK1 fusions have experienced clinical benefit from crizotinib<sup>57,60-61</sup> and from TRK inhibitors, including LOXO-101<sup>58</sup> and entrectinib<sup>62,90</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance.

TRF#

GENOMIC FINDINGS

**GENE**  
**CD274 (PD-L1)**

**ALTERATION**  
**amplification**

**POTENTIAL TREATMENT STRATEGIES**

On the basis of strong clinical evidence, CD274 amplification and PD-L1 overexpression may predict sensitivity to antibodies targeting PD-L1 or PD-1. Patients with high tumor PD-L1 expression across multiple solid tumor types have exhibited improved overall survival (OS) with the FDA-approved PD-L1 antibody atezolizumab<sup>91-93</sup>. Compared with PD-L1-negative patients, clinical studies with the PD-L1 antibody durvalumab have suggested higher response rates for patients with urothelial carcinoma and PD-L1-positive tumor or immune cells<sup>94-95</sup>, non-small cell lung cancer and PD-L1-positive tumor cells<sup>96-97</sup>, or head and neck squamous cell carcinoma and PD-L1-positive tumor cells<sup>98-99</sup>. The PD-1 antibodies pembrolizumab and nivolumab have elicited significant clinical responses<sup>100</sup>,

including in patients with Hodgkin lymphoma, a tumor type that harbors frequent PD-L1 copy number gains<sup>101-102</sup>. Clinical studies have reported that PD-L1 amplification<sup>100</sup> or expression<sup>103-104</sup> in solid tumors is associated with response to anti-PD-1 antibodies. However, a study evaluating nivolumab in patients with urothelial carcinoma observed no correlation between OS benefit and PD-L1 expression levels<sup>105</sup>. JAK2 has been reported as important for PD-L1 expression in Hodgkin lymphoma and primary mediastinal B-cell lymphoma cell lines, and JAK2 inhibition has been reported to decrease PD-L1 transcript accumulation<sup>106-107</sup>. Therefore, JAK2 inhibitors such as ruxolitinib may also be relevant for a patient with PD-L1 amplification.

**FREQUENCY & PROGNOSIS**

Amplification of CD274 has been observed in 1.4% of sarcomas<sup>72</sup>. PD-L1 protein expression was observed in 50% of all sarcoma cases in one study<sup>108</sup>, although in another study, differences in PD-L1 expression were observed between the tumor (12%), lymphocytes (30%),

and macrophages (58%) within sarcomas<sup>109</sup>. Overexpression of PD-L1 has been shown to correlate with poor prognosis in malignant melanoma, colon, hepatocellular, renal cell, and ovarian carcinomas<sup>110-114</sup>, although data regarding the prognostic significance of PD-L1 expression in soft tissue sarcomas is conflicting<sup>109,115</sup>.

**FINDING SUMMARY**

CD274 encodes the programmed cell death ligand 1 (PD-L1), also known as B7-H1, which is a cell surface molecule important for regulating the activity of T-cells through binding to various T-cell receptors. Although PD-L1 is a costimulatory molecule for naive T-cells, it can provide inhibitory signals to activated T-cells through interactions with the receptors PD-1 or CD80<sup>116-117</sup>. These signals can help PD-L1-expressing tumor cells evade immune detection by natural killer cells or T-cells<sup>118-120</sup>. PD-L1 amplification has been reported to be associated with increased expression<sup>102,106,121-122</sup>.

**GENE**  
**EGFR**

**ALTERATION**  
**amplification - equivocal**

**POTENTIAL TREATMENT STRATEGIES**

EGFR activating mutations or amplification may predict sensitivity to EGFR inhibitors, including erlotinib, gefitinib, afatinib, dacomitinib, lapatinib, osimertinib, cetuximab, and panitumumab<sup>123-128</sup>. Necitumumab is an anti-EGFR antibody that is approved to treat metastatic squamous NSCLC in combination with gemcitabine and cisplatin<sup>129-130</sup> that has also shown benefit in patients with CRC and melanoma<sup>131-132</sup>. Irreversible EGFR inhibitors, as well as HSP90 inhibitors, may be appropriate for patients with de novo or acquired resistance to EGFR-targeted therapy

<sup>133-136</sup>. Preclinical studies have reported that EGFR-mutant cells<sup>133-135</sup>, including cells with exon 20 insertions<sup>137</sup>, are sensitive to HSP90 inhibitors. The reovirus Reolysin targets cells with activated RAS signaling<sup>138-140</sup> and is in clinical trials in patients with some tumor types. Reolysin has demonstrated mixed clinical efficacy, with the highest rate of response reported for patients with head and neck cancer<sup>141-149</sup>.

**FREQUENCY & PROGNOSIS**

EGFR mutation and amplification have been observed in 1% and 4% of soft tissue sarcomas, respectively (COSMIC, Dec 2018)<sup>72</sup>. EGFR amplification has also been found in 26% of malignant peripheral nerve sheath tumors (MPNST)<sup>150</sup>. EGFR overexpression and/or activation has been reported in a number of sarcomas<sup>151-155</sup>. EGFR expression was

associated with decreased probability of overall survival in a study of sarcomas, 42/281 of which were synovial sarcomas<sup>156</sup>, whereas a subsequent study did not correlate EGFR overexpression with poor prognosis in synovial sarcoma specifically<sup>151</sup>. EGFR was found to be overexpressed in bone metastases of soft tissue sarcomas but was not associated with risk of primary tumor metastasis<sup>157</sup>.

**FINDING SUMMARY**

EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it to grow and divide<sup>158</sup>. Amplification of EGFR has been associated with increased expression of EGFR mRNA and protein in several cancer types<sup>159-161</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**PDCD1LG2 (PD-L2)**

**ALTERATION**  
**amplification**

**POTENTIAL TREATMENT STRATEGIES**

PDCD1LG2 amplification, which is often co-amplified with CD274, may lead to PD-L2 overexpression and predict sensitivity to PD-1, PD-L1, or PD-L2 antibodies. The PD-1 antibodies pembrolizumab and nivolumab have elicited significant clinical responses in several cancer types, including melanoma, NSCLC, renal cell carcinoma<sup>162-170</sup>, and Hodgkin lymphoma, which harbors frequent PD-L2 copy number gains<sup>101-102</sup>. The PD-L1 antibody atezolizumab does not block interaction between PD-1 and PD-L2; however, multiple clinical studies with atezolizumab have reported an association between increased PD-L2 expression and response or

improved overall survival in multiple solid tumor types, thereby suggesting that PD-L2 overexpression may serve as a biomarker of response<sup>92-93,171</sup>. Additionally, JAK2 has been reported as important for PD-L2 expression in Hodgkin lymphoma and PMBCL cell lines, and JAK2 inhibition has been reported to decrease PD-L2 transcript accumulation in preclinical studies<sup>106-107</sup>. Therefore, JAK2 inhibitors may also be relevant for a patient with PD-L2 amplification. Ruxolitinib is a kinase inhibitor that targets JAK1 and JAK2 and is approved to treat intermediate or high-risk myelofibrosis<sup>172</sup>.

**FREQUENCY & PROGNOSIS**

Amplification of PDCD1LG2 has been observed in 1% of sarcomas<sup>72</sup>. A case study of a patient with parathyroid liposarcoma observed PD-L2 expression on liposarcoma and endothelial cells<sup>173</sup>. Published data investigating the prognostic implications of

PDCD1LG2 alterations in sarcomas are limited (PubMed, Dec 2018).

**FINDING SUMMARY**

PDCD1LG2 encodes the programmed cell death 1 ligand 2 (PD-L2), also known as CD273, PD-L2, and B7-DC, which is essential for T-cell proliferation and interferon production. PD-1 signaling, which can be stimulated by PD-L2, results in 'T-cell exhaustion', a temporary inhibition of activation and proliferation that can be reversed on removal of the PD-1 signal<sup>116-117</sup>. Amplification of PDCD1LG2 and the adjacent locus CD274, encoding PD-L1, has been reported in 29% of primary mediastinal B-cell lymphoma (PMBCL) cases, and PDCD1LG2 copy number gain has been reported to correlate with increased PD-L2 protein expression as determined by immunohistochemistry<sup>174-175</sup>.

**GENE**  
**ATRX**

**ALTERATION**  
**T1582fs\*24**

**POTENTIAL TREATMENT STRATEGIES**

No targeted therapies are available to address ATRX inactivation. Although ATR inhibition is being investigated as a potential therapeutic approach in the context of ALT, a preclinical study demonstrated that ATRX inactivation is not sufficient to confer sensitivity to ATR inhibitors<sup>176</sup>. However, ATRX-deficient GBM cells were sensitive to the double-strand break-inducing agents doxorubicin, irinotecan, and topotecan but not single-strand break-inducing agents such as temozolomide<sup>177</sup>. Preclinical evidence suggests that ATRX may be required for CDK4/6 inhibitors to be most effective<sup>178</sup>.

**FREQUENCY & PROGNOSIS**

Somatic mutation of ATRX has been reported in a number of solid tumor types, often

associated with ALT<sup>179</sup>. ATRX mutation correlating with ALT has been reported in 10-20% of pancreatic neuroendocrine tumors (PNETs)<sup>179-181</sup>, 12.6% of pheochromocytomas and paragangliomas<sup>182</sup>, and 48% of adolescent and young adult (AYA) patients with glioblastoma (GBM) or neuroblastoma<sup>183-187</sup>. ATRX loss in PNET<sup>180,188</sup> and melanoma<sup>189</sup> and mutation in other neuroendocrine tumors<sup>182</sup> is associated with poor prognosis. Pediatric patients with high-grade glioma and ATRX mutation were shown to have more aggressive disease but are more responsive to treatment with double-strand break therapy<sup>177</sup>. ATRX mutation or loss of expression is more frequent in Grade 2/3 astrocytoma and secondary GBM than primary GBM, oligodendroglioma, and oligoastrocytoma<sup>190-193</sup> and has been proposed as a distinguishing biomarker<sup>191-193</sup>. ATRX mutation has not been detected in concurrence with MYCN amplification in glioma and neuroblastoma<sup>184-187</sup>. Low-grade gliomas with both IDH1/2 mutation and ATRX mutation are associated with worse prognosis than those with IDH1/2 mutation but no ATRX mutation<sup>191</sup>. Loss of

ATRX protein expression has been reported in 33-39% of incidences of leiomyosarcoma (LMS) associating with ALT, a poor prognostic factor across all LMS subtypes, and with poor prognosis in extrauterine LMS but not in uterine LMS<sup>194-195</sup>.

**FINDING SUMMARY**

ATRX encodes a SWI/SNF chromatin remodeling protein implicated in histone variant H3.3 deposition, transcriptional regulation, and telomere maintenance<sup>196-197</sup>. ATRX inactivation or loss of expression is associated with alternative lengthening of telomeres (ALT)<sup>179,195,198-199</sup>. Alterations that disrupt the ADD domain (aa 167-270) or helicase domain (aa 2010-2280) of ATRX are predicted to result in loss of ATRX function<sup>200-202</sup>; however, the loss of ATRX function is not sufficient to induce ALT, which requires other undetermined factors<sup>176,196</sup>. Germline mutations in ATRX give rise to alpha-thalassemia X-linked intellectual disability syndrome (ATR-X syndrome)<sup>203</sup>.



TRF#

GENOMIC FINDINGS

**GENE**  
**CAD**

**ALTERATION**  
V1226I

**POTENTIAL TREATMENT STRATEGIES**

There are no therapies available to target alterations in CAD.

**FREQUENCY & PROGNOSIS**

Mutations in this gene have been observed in ~5% of Burkitt lymphomas in one study<sup>204</sup> and 1% of cancer samples in the COSMIC database (COSMIC, 2018).

**FINDING SUMMARY**

CAD encodes an enzyme involved in pyrimidine biosynthesis in the cell. CAD is activated by the mitogen-activated protein (MAP) kinase and is required for cell proliferation<sup>205</sup>.

**GENE**  
**CDKN2A/B**

**ALTERATION**  
loss

**POTENTIAL TREATMENT STRATEGIES**

Preclinical data suggest that tumors with loss of p16INK4a function may be sensitive to CDK4/6 inhibitors, such as abemaciclib, ribociclib, and palbociclib<sup>206-209</sup>. Although case studies have reported that patients with breast cancer or uterine leiomyosarcoma harboring CDKN2A loss responded to palbociclib treatment<sup>210-211</sup>, multiple other clinical studies have shown no significant correlation between p16INK4a loss or inactivation and therapeutic benefit of these agents<sup>212-213 214-218</sup>; it is not known whether CDK4/6 inhibitors would be beneficial in this case. Although preclinical studies have suggested that loss of p14ARF function may be

associated with reduced sensitivity to MDM2 inhibitors<sup>219-220</sup>, the clinical relevance of p14ARF as a predictive biomarker is not clear.

**FREQUENCY & PROGNOSIS**

Putative homozygous deletion of CDKN2A and CDKN2B has been reported in 5% of sarcoma samples analyzed in the MSKCC dataset<sup>72</sup>. In some sarcomas, such as malignant peripheral nerve sheath tumor, rhabdomyosarcoma, and Ewing sarcoma, loss of p16INK4a has been reported at 50-83%<sup>221-222</sup>. The loss of CDKN2A and CDKN2B and/or the reduction of p15INK4b and p16INK4a protein levels has been noted in multiple types of sarcomas<sup>221,223-226</sup>. Loss of CDKN2A and/or the loss of p16INK4a expression has been associated with poor prognosis in patients with some types of sarcoma, including leiomyosarcoma, clear cell sarcoma, osteosarcoma, and malignant peripheral nerve sheath tumors<sup>221,226-227</sup>.

**FINDING SUMMARY**

CDKN2A encodes two different, unrelated tumor suppressor proteins, p16INK4a and p14ARF, whereas CDKN2B encodes the tumor suppressor p15INK4b<sup>228-229</sup>. Both p15INK4b and p16INK4a bind to and inhibit CDK4 and CDK6, thereby maintaining the growth-suppressive activity of the Rb tumor suppressor; loss or inactivation of either p15INK4b or p16INK4a contributes to dysregulation of the CDK4/6-cyclin-Rb pathway and loss of cell cycle control<sup>230-231</sup>. The tumor suppressive functions of p14ARF involve stabilization and activation of p53, via a mechanism of MDM2 inhibition<sup>232-233</sup>. This alteration is predicted to result in p16INK4a<sup>234-255</sup> loss of function. This alteration is predicted to result in p14ARF<sup>238,255-258</sup> loss of function. The CDKN2B alteration is predicted to inactivate p15INK4b<sup>259</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**CTNNA1**

**ALTERATION**  
R551Q

**POTENTIAL TREATMENT STRATEGIES**

There are no available targeted therapies to address genomic alterations in CTNNA1. In two preclinical studies, treating CTNNA1-deficient cells either with the MAPK inhibitor PD98059 or the SMO inhibitor cyclopamine had significant effect on cell proliferation<sup>260-261</sup>.

**FREQUENCY & PROGNOSIS**

CTNNA1 mutations have been observed with highest incidence in uterine corpus endometrial carcinoma (6.8%)<sup>262</sup>, skin cutaneous melanoma (6.4%)<sup>263</sup>, colorectal adenocarcinoma (4.4%)<sup>262</sup>, and stomach

adenocarcinoma (3.1%) TCGA datasets (cBioPortal, 2019). CTNNA1 mutations have been observed in patients with hereditary diffuse gastric carcinoma without CDH1 mutations<sup>264-265</sup>. Reduced CTNNA1 expression in patients with breast cancer has been correlated with a poor clinical outcome and breast cancer brain metastasis<sup>266-267</sup>. Deletion and hypermethylation of CTNNA1 has been observed in up to 22% (18/83) of myelodysplastic syndrome (MDS) cases and associated with poor clinicopathological features<sup>268-270</sup> and a trend for inferior survival<sup>268</sup>. Loss of CTNNA1 expression via 5q deletion or hypermethylation has been reported as a frequent event in acute myeloid leukemia and associated with shorter relapse-free survival in one study<sup>270-272</sup>.

**FINDING SUMMARY**

CTNNA1 encodes alpha-catenin, a member of the cadherin family that functions in cell

adhesion. Alpha-catenin acts as a tumor suppressor, through mechanisms that can vary by tissue<sup>273-274</sup>. Alpha-catenin is one of three catenin proteins that are in complex with E-cadherin to help mediate cell-cell adhesion in epithelial tumor suppression<sup>273-274</sup>; loss of cell adhesion may contribute to cancer cell invasiveness and formation of metastases. In epidermal cells, alpha-catenin acts as a tumor suppressor by inducing YAP1 phosphorylation and cytoplasmic localization<sup>267,275</sup>. Alpha-catenin also acts as a tumor suppressor by interacting with IKBalpha to influence the NF-KB pathway in E-cadherin-negative basal-like breast cancer cells<sup>267</sup>. Loss of alpha-catenin expression is also hypothesized to alter the balance between the cytoplasmic (cell adhesion) and nuclear (cell proliferation) functions of beta-catenin, further contributing to oncogenesis<sup>276</sup>.

**GENE**  
**EPHA3**

**ALTERATION**  
amplification

**POTENTIAL TREATMENT STRATEGIES**

There are no approved therapies that target EPH receptor mutation or amplification in cancer. A humanized monoclonal antibody targeting EPHA3 has exhibited several clinical responses and a tolerable safety profile in a Phase 1/2 trial in hematological malignancies<sup>277-278</sup>, although EPHA3 amplification, expression, or mutations have not been evaluated as biomarkers for efficacy. Furthermore, clinical trials for this therapy are not recruiting.

**FREQUENCY & PROGNOSIS**

EPHA3 mutations have been reported in a range of tumor types, including lung

adenocarcinoma (8-16%), melanoma (8-14%), diffuse large B-cell lymphoma (8%), gastric carcinoma (7%), and colorectal carcinoma (CRC; 5%)(cBioPortal, 2018)<sup>279-282</sup>. EPHA3 amplification has been reported most frequently in prostate adenocarcinoma (7%), sarcoma (5%), and lung squamous cell carcinoma (4%)(cBioPortal, 2018). EPHA3 mRNA has been reported to be highly expressed in glioma samples, as compared with normal brain tissue, and high EPHA3 mRNA expression has been found to be associated with an aggressive glioblastoma subtype<sup>283</sup>. EPHA3 expression has been correlated with poor prognosis in studies of gastric carcinoma, hepatocellular carcinoma, small cell lung cancer, and CRC<sup>284-287</sup>. EPHA3 expression has been observed in hematological malignancies, and low incidences of EPHA3 amplification and loss of heterozygosity have both been reported in leukemias and lymphomas<sup>288-289</sup>. Although EPHA3 expression is frequently associated with

advanced disease, conflicting data have been reported<sup>290</sup>.

**FINDING SUMMARY**

EPHA3 encodes a member of the EPH family of receptor tyrosine kinases, which have been implicated in multiple processes, including cell adhesion, cytoskeletal organization, and cell migration<sup>291-292</sup>. EPHA3 has been reported to be amplified in cancer<sup>293</sup>, and EPHA3 copy number has been shown to associate with gene expression levels<sup>289</sup>. Predominantly inactivating EPHA3 mutations have been reported in several cancers, and preclinical studies have found that mutations in EPHA3 may reduce activity through diverse mechanisms<sup>294-300</sup>. Conflicting data have been published regarding the tumor-promoting and tumor-suppressive activities of EPHA3 in cancer, which are likely context dependent<sup>283,290,301</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**FANCD2**

**ALTERATION**  
truncation intron 31

**POTENTIAL TREATMENT STRATEGIES**

There are no targeted therapies that directly address genomic alterations in FANCD2. However, somatic FANCD2 alterations may predict cancer sensitivity to DNA-damaging drugs, such as cisplatin or mitomycin C, and to PARP inhibitors<sup>302-304</sup>. The PARP inhibitors olaparib and rucaparib are FDA approved to

treat patients with BRCA1/2-mutant ovarian cancer, and PARP inhibitors are in clinical trials in patients with solid tumors.

**FREQUENCY & PROGNOSIS**

Somatic mutations in FANCD2 are very infrequently observed (<1%) in human malignancies (COSMIC, 2017).

**FINDING SUMMARY**

FANCD2 encodes a key component of the Fanconi anemia (FA) DNA damage response system. The FA core complex (FANCA/B/C/E/F/G/L/M) is a nuclear E3 ubiquitin ligase, which is recruited to the sites of DNA damage/

DNA repair<sup>305</sup>. The FA core complex then activates FANCD2 and FANCI via monoubiquitination, leading to their co-localization with FANCD1/BRCA2, BRCA1, RAD51, PCNA, and other proteins at the DNA repair foci on chromatin. The activity of this complex is essential for prevention of chromosome breakage caused by DNA damage<sup>306</sup>. Germline mutations in FANCD2 cause Fanconi anemia, a clinically heterogeneous disorder involving various developmental abnormalities as well as predisposition to cancer; underlying these phenotypes are defects in DNA repair<sup>307</sup>.

**GENE**  
**FOXP1**

**ALTERATION**  
G433\*, amplification

**POTENTIAL TREATMENT STRATEGIES**

There are no approved therapies available to address alterations in FOXP1.

**FREQUENCY & PROGNOSIS**

Loss of FOXP1 expression has been reported to be a frequent event in endometrial cancer<sup>308</sup>. FOXP1 translocations have been described in acute lymphoblastic leukemia<sup>309-310</sup>, and

deletions of the chromosomal region where FOXP1 is located have been reported in acute myeloid leukemia and myeloproliferative neoplasms<sup>311-312</sup>. Genomic rearrangements that disrupt the 5' regulatory region of FOXP1 have been detected and characterized in several lymphomas<sup>313-315</sup>. Such alterations have been demonstrated to result in expression of N-terminally truncated variants of FOXP1, or aberrant expression of full length FOXP1 driven by strong regulatory elements, such as IGH, as observed in the t(3;14)(p13;q32) translocation<sup>316</sup>. In a genome-wide association study, polymorphisms at the FOXP1 locus were found to be significantly associated with Barrett esophagus and esophageal

adenocarcinoma<sup>317</sup>. Conflicting data have been presented on the prognostic impact of FOXP1 expression, as high expression of FOXP1 is associated with poor prognosis in patients with cutaneous large B-cell lymphomas or mucosal tissue-associated lymphoid tissue (MALT) lymphomas, but improved prognosis in patients with breast or lung cancer<sup>313-314,318-320</sup>.

**FINDING SUMMARY**

FOXP1 encodes the protein 'forkhead box protein P1', a transcription factor previously reported as a tumor suppressor, but one which can also function as an oncogene when shorter isoforms are expressed<sup>321-322</sup>.

**GENE**  
**JAK2**

**ALTERATION**  
amplification - equivocal

**POTENTIAL TREATMENT STRATEGIES**

On the basis of extensive clinical data in myelofibrosis, a disease type that frequently harbors the JAK2 V617F mutation<sup>172,323-325</sup>, and a case report in chronic myelomonocytic leukemia<sup>326</sup>, JAK2 activating mutations may predict sensitivity to JAK2 inhibitors, such as the approved agent ruxolitinib. Other alterations that activate JAK2, such as fusions

<sup>327-333</sup> or amplification<sup>334-335</sup>, may also confer sensitivity to JAK2 inhibitors, on the basis of clinical data in myeloid neoplasms as well as preclinical data. Preclinical studies have suggested that activating alterations in JAK2 may confer sensitivity to HDAC inhibitors<sup>336-338</sup> or HSP90 inhibitors<sup>339-340</sup>.

**FREQUENCY & PROGNOSIS**

JAK2 amplification has been reported in 1-5% of sarcomas (cBioPortal, Jan 2019). Activation of a JAK family kinase substrate, STAT3, has been reported to occur in leiomyosarcoma and is associated with better prognosis<sup>341</sup>.

**FINDING SUMMARY**

JAK2 encodes Janus kinase 2, a tyrosine kinase that regulates signals triggered by cytokines and growth factors<sup>342</sup>. JAK2 is often mutated in hematopoietic and lymphoid cancers. Cell lines and primary lymphoid cancer cells from a small number of patients with the JAK2 amplification exhibit overabundance of JAK2 mRNA, protein, and phosphorylated JAK2 targets and respond to JAK2 inhibitors such as ruxolitinib similarly to the JAK2-rearranged (activated) cell lines and primary blood cells from patients<sup>106,331</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**KDM4C**

**ALTERATION**  
amplification

**POTENTIAL TREATMENT STRATEGIES**

Small molecules that target the KDM<sub>4</sub> proteins are in preclinical development<sup>343</sup>, but no

therapies are currently available to address mutations in KDM<sub>4</sub>C.

**FREQUENCY & PROGNOSIS**

KDM<sub>4</sub>C mutations are rare in cancer (COSMIC, 2018). Increased expression of KDM<sub>4</sub>C or altered enzyme activity has been implicated in the growth of breast and colon cancer cells, among other tumor types, and inhibition of KDM<sub>4</sub> activity has been shown

in some contexts to reduce cancer cell growth and proliferation<sup>344-347</sup>.

**FINDING SUMMARY**

KDM<sub>4</sub>C encodes a histone demethylase, also known as Jumonji C domain-containing protein 2C (JMJD2C), which functions to regulate transcription and gene expression by altering methylation patterns on histones<sup>347</sup>.

**GENE**  
**MITF**

**ALTERATION**  
amplification

**POTENTIAL TREATMENT STRATEGIES**

There are no available therapies to directly target MITF, but small-molecule inhibitors are in preclinical development<sup>348-349</sup>. Preclinical studies have reported that histone deacetylase (HDAC) inhibitors suppress MITF expression in melanoma and clear cell sarcoma cells, reduce cell proliferation, and sensitize the cells to other therapies, such as MAPK pathway inhibitors<sup>350-351</sup>. MITF has also been reported to transcriptionally activate MET<sup>352-353</sup>, but it is not known if MITF alterations are associated with sensitivity to MET inhibitors; a clinical trial of the putative MET inhibitor tivantinib (ARQ 197) for MITF-associated tumors displayed only modest antitumor

activity<sup>354-356</sup>. Preclinical data suggest that MITF overexpression confers resistance to MEK inhibitors in melanoma cells<sup>357-358</sup>. However, MITF amplification does not affect the sensitivity of melanoma cells to chemotherapeutic agents or the sensitivity of cells harboring BRAF V600E mutations to vemurafenib<sup>359-360</sup>.

**FREQUENCY & PROGNOSIS**

In the TCGA datasets, MITF amplification was most frequently observed in melanoma (4.2%), uterine carcinosarcoma (3.5%), ovarian serous cystadenocarcinoma (2.1%), and pancreatic adenocarcinoma (1.6%) (cBioPortal, 2019). MITF amplification has been reported in 5-21% of melanoma samples and in 5-40% of melanoma cell lines analyzed<sup>359,361-364</sup>, and MITF expression in melanoma cells has been reported to vary widely<sup>365-367</sup>. The significance of MITF alterations in tumor types other than melanoma have not been extensively studied,

with the exception of clear cell sarcoma and a renal cell carcinoma subtype characterized by alterations in MITF-related transcription factors<sup>368</sup>.

**FINDING SUMMARY**

MITF encodes microphthalmia-associated transcription factor, a protein required for pigment cell development<sup>369</sup>. Along with its role as a transcriptional activator, MITF plays a critical role in regulating cell cycle progression by interacting with RB1<sup>370</sup>. MITF is commonly amplified in human melanomas and is considered an oncogene in this context<sup>359,361</sup>. Although the MITF E318K mutation has been demonstrated to activate MITF and is associated with germline predisposition to melanoma and renal cell carcinoma<sup>371</sup>, characterization of other cancer-associated MITF mutations is lacking.

TRF#

GENOMIC FINDINGS

**GENE**  
**NOTCH1**

**ALTERATION**  
**D1870N**

**POTENTIAL TREATMENT STRATEGIES**

NOTCH1 inhibitors and gamma-secretase inhibitors (GSIs) may be potential therapeutic approaches in the case of NOTCH1 activating mutations<sup>372-379</sup>. Complete responses to the GSI BMS-906024 (AL101) were achieved in a patient with T-cell acute lymphoblastic leukemia (T-ALL) harboring a NOTCH1 HD domain mutation<sup>380</sup> and in a patient with gastroesophageal junction adenocarcinoma harboring multiple NOTCH1 mutations, as well as a partial response in a patient with adenoid cystic carcinoma harboring a single NOTCH1 mutation<sup>381</sup>. BMS-906024 has been shown to have pan-NOTCH signaling inhibitory activity in vitro and anti-tumor efficacy in xenograft models of leukemia and triple-negative breast cancer harboring NOTCH1 and NOTCH3 activating mutations or

overexpression<sup>382</sup>. On the basis of clinical data in non-Hodgkin lymphoma, NOTCH1 activating alterations may be associated with sensitivity to the FDA-approved PI3K inhibitor copanlisib<sup>383</sup>; this is further supported by limited preclinical data that suggest that NOTCH1 may be a negative regulator of PTEN<sup>384-385</sup>. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

**FREQUENCY & PROGNOSIS**

In the Sarcoma TCGA dataset, NOTCH1 mutation and homozygous deletion have been reported in 0.4% and 1.5% of samples analyzed, respectively (cBioPortal, Jan 2019). In one study, NOTCH1 mutation was reported in 1/25 sarcoma samples<sup>386</sup>. Although lower NOTCH1 protein levels were associated with advanced stage of angiosarcomas in one study<sup>387</sup>, published clinical data on the prognostic implications of NOTCH1 alterations in soft tissue sarcomas are limited (PubMed, Dec 2018).

**FINDING SUMMARY**

NOTCH1 encodes a member of the NOTCH family of receptors, which are involved in cell fate determination and various developmental processes. Depending on cellular context, NOTCH1 can act as either a tumor suppressor or an oncogene<sup>388-389</sup>. Upon binding of membrane-bound ligands, the NOTCH1 intracellular domain (NICD) is cleaved and forms part of a transcription factor complex that regulates downstream target genes involved in cell fate determination, proliferation, and apoptosis<sup>390-391</sup>. NOTCH1 mutations leading to gamma-secretase inhibitor (GSI)-sensitive activation have been identified in the extracellular domain<sup>392</sup>, heterodimerization domain (HD; amino acids 1571-1735)<sup>393-397</sup> and PEST domain (amino acids 2424-2555)<sup>398</sup> in multiple cancer types including T-cell acute lymphoblastic leukemia (T-ALL)<sup>393</sup>. However, this alteration has not been characterized and its effect on function is unclear, although it has been reported in the context of cancer, which may indicate biological relevance.

**GENE**  
**PAX5**

**ALTERATION**  
**loss**

**POTENTIAL TREATMENT STRATEGIES**

There are no therapies available to target genomic alterations in PAX5. In pulmonary neuroendocrine tumors, particularly SCLC, PAX5 is coexpressed and colocalized with active MET<sup>399-400</sup>, and a preclinical study of SCLC showed that PAX5 activates MET transcription<sup>399</sup>. This same study showed that combinatorial reduction of SCLC cell viability can be achieved by PAX5 knockdown and treatment with inhibitors of MET or

topoisomerase 1<sup>399</sup>, although whether PAX5 mutations confer sensitivity to these inhibitors has not been evaluated.

**FREQUENCY & PROGNOSIS**

Compared with hematologic malignancies, PAX5 genomic alterations are rare in solid tumors and have not been extensively studied in this context (COSMIC, PubMed, 2017). However, it has been suggested that PAX5 is a tumor suppressor for various epithelial cancers, as transcriptional silencing of PAX5 by promoter methylation has been reported in multiple tumor types including non-small cell lung cancer, breast cancer, and head and neck squamous cell carcinoma<sup>401-404</sup>. In gastric cancer, PAX5 methylation is correlated with worse survival<sup>405-406</sup>. In contrast, PAX5 is

believed to act as an oncogene in neuroendocrine tumors. PAX5 is frequently expressed in Merkel cell carcinoma, small cell lung carcinoma (SCLC), other pulmonary neuroendocrine carcinomas, and neuroblastoma<sup>399-400,407-411</sup>.

**FINDING SUMMARY**

Paired box (PAX) genes such as PAX5 encode transcription factors that regulate cell differentiation and development. The protein PAX5 (also known as BSAP) is a master regulator of B-cell development<sup>412-413</sup>. PAX5 has been extensively studied in B-cell malignancies, particularly B-cell acute lymphoblastic leukemia (B-ALL), for which it has both oncogenic and tumor suppressive activities<sup>413</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**PCLO**

**ALTERATION**  
A915S - subclonal

**POTENTIAL TREATMENT STRATEGIES**

There are currently no therapies or clinical trials targeting alterations in PCLO.

**FREQUENCY & PROGNOSIS**

Although a mechanistic or prognostic role for piccolo has not been defined in cancer, mutations in PCLO have been found in up to 30% of tumors for some cancer types, particularly in adenocarcinomas of the lung, esophagus, and large intestine, and in up to 15% of diffuse large B cell lymphomas (DLBCL), plasma cell myelomas, and mantle cell lymphomas (COSMIC, PubMed, 2017)<sup>414</sup>. However, the ratio of nonsynonymous to synonymous mutations led researchers to suggest that many of these alterations may be

passenger mutations of no significance in DLBCL.

**FINDING SUMMARY**

PCLO encodes the high-molecular weight protein piccolo, which is an important component of the presynaptic active zone in neurons and plays a role in neurotransmitter release<sup>415</sup>.

**GENE**  
**PRKDC**

**ALTERATION**  
T1269M

**POTENTIAL TREATMENT STRATEGIES**

There are no therapies that have been shown to target PRKDC alterations in cancer. Preclinical studies have demonstrated synthetic lethal interactions between PRKDC and ATM<sup>416</sup> or MSH3<sup>417</sup>, and that inhibition of DNA-PK results in increased sensitivity to radiation or DNA damaging chemotherapies<sup>418-419</sup>; however, therapeutic targeting of cells with PRKDC loss-of-function alterations has not been demonstrated. High expression of DNA-PKs has been correlated with resistance to radiotherapy in prostate cancer<sup>420</sup> and cervical cancer<sup>421</sup>, but with better response to radiotherapy in breast cancer<sup>422</sup>. Preclinical studies have suggested that DNA-PKs inhibition may potentiate treatment with chemotherapy or radiotherapy in cancer types

with high DNA-PKs expression such as CLL<sup>423</sup> or HCC<sup>424</sup>.

**FREQUENCY & PROGNOSIS**

In the TCGA datasets, PRKDC mutation has been observed most frequently in stomach adenocarcinoma (11%)<sup>121</sup>, endometrial carcinoma (9.7%)<sup>52</sup>, and lung squamous cell carcinoma (9.6%)<sup>425</sup>; PRKDC amplification was detected most frequently in uterine carcinosarcoma (18%), prostate (15%)<sup>426</sup>, breast (12%)<sup>427</sup>, and uveal melanoma (8%) (cBioPortal, 2018). A CPQ-PRKDC fusion has been described in an endometrial cancer cell line, but this cell line was not dependent on the PRKDC fusion transcript<sup>428</sup>. Overexpression of DNA-PK has been observed in various cancer types<sup>429-431</sup> and has been associated with poor outcomes in chronic lymphocytic leukemia (CLL)<sup>423,432</sup>, prostate cancer<sup>433</sup>, HCC<sup>424,434</sup>, non-small cell lung cancer<sup>435</sup>, and breast cancer<sup>436</sup>. In contrast, other studies have suggested that loss of DNA-PK expression has been associated with poor outcome in gastric

cancer<sup>437</sup> and patients with breast cancer<sup>422,438</sup>.

**FINDING SUMMARY**

PRKDC encodes DNA-PKs, which is the catalytic subunit of the DNA-dependent protein kinase complex (DNA-PK) that is involved in DNA repair by non-homologous end joining and homologous recombination<sup>430</sup>. DNA-PKs may function as a tumor suppressor via maintenance of genomic stability; however, some studies have suggested a role for DNA-PKs in promoting tumorigenesis by resistance to genotoxic chemotherapy or by transcriptional regulation of hormone receptor activity in breast and prostate cancer<sup>430,433</sup>. PRKDC missense mutations, truncation mutations, and fusions have been observed in the context of cancer but these alterations have not been characterized, and their significance in cancer has not been established<sup>428-430,439</sup>. PRKDC copy number increase has been correlated with PRKDC mRNA expression in one study of hepatocellular carcinoma (HCC)<sup>424</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**PTPN11**

**ALTERATION**  
**V428M**

**POTENTIAL TREATMENT STRATEGIES**

SHP-2 has been reported to activate the RAS-MEK-ERK, PI3K, and SRC kinase pathways<sup>440-443</sup>. Preclinical studies in hematologic and solid cancer cell lines<sup>442,444-445</sup> and in animal models of developmental abnormalities associated with Noonan syndrome and LEOPARD syndrome<sup>446-448</sup> have suggested that PTPN11 mutations may predict sensitivity to MEK or PI3K inhibitors. The MEK inhibitors trametinib and cobimetinib are approved to treat unresectable or metastatic

BRAF V600E or V600K mutant melanoma<sup>449-450</sup>. Various MEK and PI3K inhibitors are under investigation in clinical trials. It is not known whether these therapeutic approaches would be relevant in the context of alterations that have not been fully characterized, as seen here.

**FREQUENCY & PROGNOSIS**

PTPN11 mutation has been observed in <1% of sarcomas (cBioPortal, COSMIC, Mar 2018). Published data investigating the prognostic implications of PTPN11 alterations in sarcoma are limited (PubMed, Mar 2018).

**FINDING SUMMARY**

PTPN11 encodes the protein tyrosine-protein phosphatase non-receptor type 11, also known as SHP-2. PTPN11 plays a critical role in both

embryonic development and cancer<sup>451</sup>. PTPN11 is also known to be somatically mutated in a variety of cancers, where both oncogenic and tumor suppressor roles for PTPN11 have been described<sup>452-454</sup>. Although alterations such as seen here have not been fully characterized and are of unknown functional significance, similar alterations have been previously reported in the context of cancer, which may indicate biological relevance. Germline mutations in PTPN11 have been found in the developmental disorder Noonan syndrome, which predisposes individuals to various cancers, including embryonal rhabdomyosarcoma, neuroblastoma, and juvenile myelomonocytic leukemia<sup>455-460</sup>.

**GENE**  
**SMARCA4**

**ALTERATION**  
**G1232D**

**POTENTIAL TREATMENT STRATEGIES**

There are no therapies that directly address mutant SMARCA4 or loss of functional BRG1. However, on the basis of both clinical<sup>461-462</sup> and preclinical<sup>462-463</sup> data, patients with small cell carcinoma of the ovary, hypercalcemic type (SCCOHT) harboring SMARCA4 loss or inactivation may benefit from treatment with inhibitors of EZH2. In preclinical studies, cells with dual inactivation of SMARCA4 and SMARCA2, which is characteristic of SCCOHT<sup>464-465</sup>, were sensitive to EZH2 inhibitors<sup>462-463,466</sup>, and two patients with SCCOHT experienced clinical benefit (1 partial response, 1 long-term stable disease) upon treatment with the EZH2 inhibitor

tazemetostat<sup>461-462</sup>. Downregulation of BRG1 and BRM was reported to enhance cellular sensitivity to cisplatin in lung and head and neck cancer cells<sup>467</sup>. In vitro studies have shown that SCCOHT cell lines are sensitive to treatment with epothilone B, methotrexate, and topotecan, compared to treatment with other chemotherapies such as platinum-containing compounds; similar sensitivity was not observed for treatment with ixabepilone, a compound closely related to epothilone B<sup>468</sup>.

**FREQUENCY & PROGNOSIS**

SMARCA4 mutations have been reported in 0-3% of sarcoma cases in large datasets (COSMIC, cBioPortal, Nov 2017). SMARCA4/BRG1-deficiency has been associated with an aggressive subtype of thoracic sarcoma with a rhabdoid histology and male-predominance<sup>469-471</sup>. A study of epithelioid sarcoma did not find loss of BRG1 expression in any of the 23 analyzed cases<sup>472</sup>. Published data investigating

the prognostic implications of SMARCA4 alterations in sarcomas are limited (PubMed, Dec 2018). Loss of BRG1 expression has been shown to correlate with a poor patient prognosis in some cancers, while in others, elevated BRG1 expression is associated with poor patient prognosis<sup>473-474</sup>.

**FINDING SUMMARY**

SMARCA4 encodes the protein BRG1, an ATP-dependent helicase that regulates gene transcription through chromatin remodeling<sup>475</sup>. SMARCA4 is inactivated in a variety of cancers and considered a tumor suppressor<sup>476</sup>. Alterations in SMARCA4 that disrupt or remove the ARID1A-interaction domain (aa 476-587)<sup>477</sup>, ATP-binding domain (aa 766-931), or the bromodomain (aa 1477-1547)<sup>478</sup> are predicted to result in loss of SMARCA4 function. Certain point mutations have also been characterized to inactivate SMARCA4<sup>479-480</sup>.

TRF#

GENOMIC FINDINGS

**GENE**  
**TP53**

**ALTERATION**  
R273H, R175H

**POTENTIAL TREATMENT STRATEGIES**

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor AZD1775<sup>481-484</sup>, or p53 gene therapy and immunotherapeutics such as SGT-53<sup>485-489</sup> and ALT-801<sup>490</sup>. Missense mutations leading to TP53 inactivation may also be sensitive to therapies that reactivate mutant p53 such as APR-246<sup>491-493</sup>. In a Phase 1b trial in patients with p53-positive high-grade serous ovarian cancer, APR-246 combined with carboplatin and pegylated liposomal doxorubicin achieved a 52% (11/21) response rate and 100% disease control rate<sup>494</sup>. In a Phase 1 study, AZD1775 in combination with gemcitabine, cisplatin, or carboplatin elicited partial response in 10% (17/176) and stable disease in 53% (94/176) of patients with solid tumors; the response rate was 21% (4/19) in patients with TP53 mutations versus 12% (4/33) in patients who were TP53-wild-type<sup>495</sup>. Combination of AZD1775 with paclitaxel and carboplatin

achieved significantly longer progression-free survival than paclitaxel and carboplatin alone in patients with TP53-mutant ovarian cancer<sup>496</sup>. Furthermore, AZD1775 in combination with carboplatin achieved a 27% (6/22) response rate and 41% (9/22) stable disease rate in patients with TP53-mutant ovarian cancer refractory or resistant to carboplatin plus paclitaxel<sup>497</sup>. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel in patients with solid tumors, 75% (9/12) of evaluable patients experienced clinical benefit, including two confirmed and one unconfirmed partial responses and two instances of stable disease with significant tumor shrinkage<sup>489</sup>. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53 mutant, but not TP53 wild-type, breast cancer xenotransplant mouse model<sup>498</sup>.

**FREQUENCY & PROGNOSIS**

In the Sarcoma MSKCC dataset, TP53 deletion has been reported in 11% of cases<sup>72</sup>. Mutations of TP53 have been reported in 14% of soft tissue tumors analyzed in COSMIC, including 28% of angiosarcomas, 33% of leiomyosarcomas, and 11% of rhabdomyosarcomas (Oct 2018). TP53

alterations appear to lead to chromosomal instability and drive oncogenesis in soft tissue sarcomas<sup>499</sup>. One study of soft tissue sarcomas reported that TP53 non-frameshift mutations correlated with poor prognosis, including lymph node metastasis, increased rate of relapse, and decreased overall survival<sup>500</sup>.

**FINDING SUMMARY**

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers<sup>501</sup>. Any alteration that results in the disruption or partial or complete loss of the region encoding the TP53 DNA-binding domain (DBD, aa 100-292) or the tetramerization domain (aa 325-356), such as observed here, is thought to dysregulate the transactivation of p53-dependent genes and is predicted to promote tumorigenesis<sup>502-504</sup>. Germline mutations in TP53 are associated with the very rare disorder Li-Fraumeni syndrome and the early onset of many cancers<sup>505-507</sup>, including sarcomas<sup>508-510</sup>. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000<sup>511</sup> to 1:20,000<sup>510</sup>. In the appropriate clinical context, germline testing of TP53 is recommended.

**GENE**  
**ZMYM3**

**ALTERATION**  
rearrangement exon 17

**POTENTIAL TREATMENT STRATEGIES**

There are no targeted therapies to address genomic alterations in ZMYM3.

**FREQUENCY & PROGNOSIS**

ZMYM3 mutations are rare in solid tumors and hematological cancers, being most frequently reported in chronic lymphocytic leukemia (CLL)/small lymphocytic lymphoma (SLL) (2-4.3% of cases)<sup>512</sup>.

**FINDING SUMMARY**

ZMYM3, also known as ZNF261, is a zinc-finger containing protein capable of binding to

methylated histones<sup>513</sup>. ZMYM3 is a component of multi-protein complexes containing histone deacetylase activity that function to silence gene expression by modifying chromatin structure<sup>514-515</sup>. However, the role of ZMYM3 in cancer is not clear. Disruptions at the ZMYM3 locus have been linked to intellectual disability<sup>516-517</sup>.



TRF#

**THERAPIES WITH CLINICAL BENEFIT**    **IN PATIENT'S TUMOR TYPE**

## Larotrectinib

*Assay findings association*

### NTRK1

A107V - subclonal, rearrangement intron 6

#### AREAS OF THERAPEUTIC USE

Larotrectinib is a tyrosine kinase inhibitor that targets NTRK1, NTRK2, and NTRK3. It is FDA approved to treat adult and pediatric patients with NTRK fusion-positive solid tumors that lack a known acquired resistance mutation and are metastatic or likely to result in severe morbidity after surgical resection, and have no satisfactory alternative treatments, or that have progressed following treatment.

#### GENE ASSOCIATION

Based on extensive clinical evidence in various solid tumors<sup>65,518 66</sup>, NTRK fusions may predict sensitivity to larotrectinib. As it is unclear if the rearrangement seen here results in expression of an oncogenic protein, it is not known whether this therapeutic approach would be relevant.

#### SUPPORTING DATA

Analysis of combined data from several clinical trials, including the pediatric Phase 1/2 SCOUT trial, reported an ORR of 91% (29/32) in pediatric and adult patients with NTRK fusion-positive sarcomas; the ORR was 90% (9/10) in patients with infantile fibrosarcoma (IFS), 88% (15/17) in patients with other soft tissue sarcomas, and 100% (5/5) in patients with GIST<sup>519</sup>. The SCOUT trial included 5 patients (3 with IFS and 2 with other soft tissue sarcomas) that received larotrectinib as a neoadjuvant treatment, and each patient achieved a PR prior to surgery; CR or near CR (>98%) was reached in 3 of these patients following surgery<sup>71</sup>. One of two patients with NTRK fusion-positive bone sarcoma treated with larotrectinib exhibited a PR<sup>518</sup>.

SAMPLE

TRF#

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

## Pembrolizumab

Assay findings association

### Microsatellite status

MSI-High

### AREAS OF THERAPEUTIC USE

Pembrolizumab is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with the ligands PD-L1 and PD-L2 to enhance antitumor immune responses. It is FDA approved as second-line treatment for adult and pediatric patients with unresectable or metastatic microsatellite instability-high (MSI-H) or mismatch repair deficient (dMMR) solid tumors or with MSI-H or dMMR colorectal cancer that has progressed on fluoropyrimidine, oxaliplatin, and irinotecan. Pembrolizumab is also approved in unresectable or metastatic melanoma, recurrent or metastatic head and neck squamous cell carcinoma that has progressed on or after platinum chemotherapy, hepatocellular carcinoma previously treated with sorafenib, adult or pediatric classical Hodgkin lymphoma that is refractory or following relapse after 3 or more prior lines of therapy, adult or pediatric primary mediastinal large B-cell lymphoma (PMBCL) that is refractory or has relapsed after 2 or more prior lines of therapy, PD-L1-positive gastric or gastroesophageal junction (GEJ) adenocarcinoma that has progressed on 2 or more lines of therapy, PD-L1-positive recurrent or metastatic cervical cancer that has progressed on or after chemotherapy, and adult or pediatric recurrent locally advanced or metastatic Merkel cell carcinoma (MCC). Pembrolizumab is also approved in PD-L1-positive metastatic non-small cell lung cancer (NSCLC) following progression on prior therapy, as first-line treatment for metastatic NSCLC with high PD-L1 expression and without EGFR or ALK genomic alterations, as first-line treatment in combination with pemetrexed and carboplatin for metastatic non-squamous NSCLC without EGFR or ALK genomic alterations, and as first-line treatment in combination with carboplatin and paclitaxel or nab-paclitaxel for metastatic squamous NSCLC. It is also approved to treat patients with advanced urothelial carcinoma who are not eligible for any platinum-containing chemotherapy, who have PD-L1 positive tumors and are not eligible for cisplatin-containing chemotherapy, or who progress on or after platinum chemotherapy or within 12 months of neoadjuvant or adjuvant platinum chemotherapy.

### GENE ASSOCIATION

Amplification of CD274 or PDCD1LG2 may lead to overexpression of PD-1 ligand(s) and may predict sensitivity to pembrolizumab. A patient with cancer of unknown primary harboring CD274 amplification experienced lasting partial remission upon treatment with pembrolizumab<sup>100</sup>. PD-L1 expression in at least 50% of tumor cells was associated with a higher response rate and longer overall survival in patients with non-small cell lung cancer (NSCLC)<sup>520-521</sup>. One trial in patients with melanoma observed an improved objective response rate (51% vs. 6%) and progression-free survival (12 vs. 3 months) for PD-L1 positive compared to PD-L1 negative tumors<sup>103</sup>. Furthermore, PD-L1 expression correlated positively with expression of PD-1 (on lymphocytes) and PD-L2, as well as with objective response to the anti-PD-1 antibody nivolumab in various advanced solid tumors<sup>104</sup>. On the basis of multiple prospective clinical studies showing efficacy of pembrolizumab against various microsatellite instability (MSI)-high or mismatch repair-deficient solid tumors<sup>522-523 524-525</sup>, MSI may predict sensitivity to pembrolizumab. On the basis of emerging clinical data in patients with non-small cell lung cancer<sup>10,526 37</sup>, colorectal cancer<sup>9</sup>, or melanoma<sup>34</sup> and case reports in endometrial cancer<sup>38-39</sup> and glioblastoma<sup>40-41</sup>, high tumor mutational burden (TMB) may predict sensitivity to anti-PD-1 therapies such as pembrolizumab.

### SUPPORTING DATA

A Phase 2 study of pembrolizumab for patients with advanced soft tissue or bone sarcomas reported objective responses for 22% (2/9) of undifferentiated pleomorphic sarcoma (UPS) cases and 5% (1/19) of bone sarcoma cases<sup>527</sup>. Although objective responses were not seen for patients with leiomyosarcoma (LMS, 0/10), liposarcoma (LPS, 0/9), synovial sarcoma (0/10), Ewing sarcoma (0/13), or chondrosarcoma (CS, 0/6) at 8 weeks of therapy, three additional partial responses were recorded for cases with UPS, LPS, or CS after 20 weeks of pembrolizumab<sup>527</sup>. In a Phase 1b trial of pembrolizumab for PD-L1-positive advanced solid tumors, a patient with resected uterine LMS had a complete pathological response at all but one metastatic site<sup>528</sup>. Pembrolizumab combined with liposomal doxorubicin achieved prolonged stable disease for a patient with sarcoma<sup>529</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Afatinib

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Afatinib is an irreversible kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) and nonresistant EGFR mutations and for the treatment of patients with metastatic, squamous NSCLC after progression on platinum-based chemotherapy.

### GENE ASSOCIATION

EGFR activating mutations or amplification may indicate sensitivity to afatinib. In Phase 2 studies of afatinib, patients with EGFR-amplified NSCLC achieved an objective response rate of 20% (5/25) and a disease-control rate of 64% (16/25)<sup>530</sup>, and 2/5 patients with EGFR amplification in other solid tumors experienced stable disease<sup>531</sup>.

### SUPPORTING DATA

Afatinib has been primarily evaluated for the treatment of EGFR-mutant NSCLC, in which treatment with afatinib exhibited significant improvement in progression free survival (PFS) vs. chemotherapy treatments<sup>125,532</sup>. A Phase 2 trial of afatinib in patients with either EGFR or ERBB2 amplification and esophagogastric, biliary tract, urothelial tract, or gynecologic cancer reported a 5% (1/20) objective response rate, with complete response achieved in one patient and stable disease (SD) achieved in 8 patients; the authors concluded that afatinib activity as a single agent was encouraging<sup>531</sup>. A Phase 1 trial of afatinib in advanced cancer reported SD in 14/31 patients<sup>533</sup>. A Phase 1 study of afatinib combined with pemetrexed in patients with advanced solid tumors reported confirmed partial response in 3% (1/30) of patients and SD in 33% (10/30) of patients<sup>534</sup>.

## Atezolizumab

Assay findings association

**CD274 (PD-L1)**  
amplification

**Microsatellite status**  
MSI-High

**PDCD1LG2 (PD-L2)**  
amplification

**Tumor Mutational Burden**  
TMB-High (40 Muts/Mb)

### AREAS OF THERAPEUTIC USE

Atezolizumab is a monoclonal antibody that binds to PD-L1 and blocks its interaction with PD-1 to enhance antitumor immune responses. It is FDA approved to treat patients with advanced urothelial carcinoma who are not eligible for any platinum-containing therapy, who have PD-L1-positive tumors and are not eligible for cisplatin-containing chemotherapy, or who progress during or following platinum-based chemotherapy. It is also approved to treat patients with metastatic non-small cell lung cancer (NSCLC) who progressed on prior treatments and as a first line treatment in combination with bevacizumab, paclitaxel, and carboplatin for patients with metastatic non-squamous NSCLC without EGFR or ALK alterations.

### GENE ASSOCIATION

CD274 alterations, such as amplification or rearrangements, that lead to overexpression of PD-L1 may predict sensitivity to atezolizumab based on clinical evidence in multiple solid tumor types<sup>92,171 535</sup>. On the basis of emerging clinical data showing efficacy of atezolizumab alone or in combination with antiangiogenic therapy for patients with MSI-H colorectal cancer<sup>3</sup> or endometrial cancer<sup>4</sup>, MSI-H status may predict sensitivity to atezolizumab. Amplification of PDCD1LG2, which is often co-amplified with CD274, may lead to PD-L2 overexpression and predict sensitivity to anti-PD-L1 inhibitors such as atezolizumab. Although atezolizumab does not block the interaction between PD-L2 and PD-1, clinical evidence in multiple solid tumor types suggests that PD-L2 expression may correlate with improved overall survival and response to atezolizumab<sup>171,535 92</sup>. On the basis of emerging clinical data in patients with urothelial carcinoma<sup>33,35</sup>, non-small cell lung cancer (NSCLC)<sup>526,536</sup>, or melanoma<sup>34</sup>, high tumor mutational

burden (TMB) may predict sensitivity to anti-PD-L1 therapies such as atezolizumab. In a retrospective analysis that included these 3 solid tumor types as well as 14 others, TMB  $\geq 20$  correlated with an objective response rate of  $\geq 33\%$  for patients treated with atezolizumab-based regimens; for those whose tumors harbored TMB  $\geq 16$  muts/Mb, atezolizumab improved duration of response relative to chemotherapy (29 vs. 6.2 months)<sup>44</sup>.

### SUPPORTING DATA

Atezolizumab has been studied primarily for the treatment of non-small cell lung cancer (NSCLC)<sup>537-538 539-540,92-93</sup> and urothelial carcinoma<sup>541-542,33,543</sup>. A study of atezolizumab as monotherapy for patients with advanced solid tumors reported a median progression-free survival (PFS) of 18 weeks and an overall response rate (ORR) of 21%, including confirmed responses in 26% (11/43) of melanomas, 13% (7/56) of renal cell carcinomas (RCC) and 13% (1/6) of colorectal cancers (CRCs)<sup>93</sup>. A Phase 1a study of atezolizumab reported an ORR of 15% (9/62), median PFS of 5.6 months, and median overall survival (OS) of 28.9 months for patients with clear cell RCC<sup>544</sup>. A Phase 1b study evaluated atezolizumab combined with nab-paclitaxel for patients with previously treated metastatic triple-negative breast cancer (mTNBC) and reported confirmed objective responses for 42% (10/24) of patients; no dose-limiting toxicities were observed<sup>545</sup>. A Phase 1b study evaluated atezolizumab in combination with the MEK inhibitor cobimetinib for advanced solid tumors and enrolled 23 patients with CRC, who were mostly (22/23) KRAS-mutant; 17% (4/23) of these patients achieved objective partial responses, with three of the responders being mismatch repair (MMR)-proficient and one of them having unknown MMR status. In addition, stable disease was observed for 22% (5/23) of patients, and no dose-limiting toxicities were encountered<sup>546</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Avelumab

Assay findings association

**CD274 (PD-L1)**  
amplification

**Microsatellite status**  
MSI-High

**PDCD1LG2 (PD-L2)**  
amplification

**Tumor Mutational Burden**  
TMB-High (40 Muts/Mb)

### AREAS OF THERAPEUTIC USE

Avelumab is a monoclonal antibody that binds to PD-L1 and blocks its interaction with PD-1 in order to enhance antitumor immune responses. It is FDA approved to treat patients 12 years and older with metastatic Merkel cell carcinoma and patients with advanced urothelial carcinoma who have progressed on or after platinum chemotherapy or within 12 months of neoadjuvant or adjuvant platinum chemotherapy.

### GENE ASSOCIATION

CD274 alterations, such as amplification or rearrangement, may lead to overexpression of PD-L1 and predict sensitivity to PD-L1-blocking antibodies such as avelumab based on clinical evidence in multiple solid tumor types<sup>171,547 548-549 92,550 535</sup>. On the basis of emerging clinical data in patients with MSI-H colorectal cancer<sup>3</sup>, endometrial cancer<sup>4</sup>, or gastric/gastroesophageal junction cancer<sup>5</sup>, MSI-H status may predict sensitivity to anti-PD-L1 therapies such as avelumab. Amplification of PDCD1LG2, which is often co-amplified with CD274, may lead to PD-L2 overexpression and predict sensitivity to PD-L1-blocking antibodies such as avelumab. Although avelumab does not block the interaction between PD-L2 and PD-1, clinical evidence in multiple solid tumor types suggests that PD-L2 expression may correlate with improved overall survival and response to the similar PD-L1-blocking antibody atezolizumab<sup>171,535 92</sup>. On the basis of

emerging clinical data in patients with urothelial carcinoma<sup>33</sup>, non-small cell lung cancer<sup>526,536</sup>, or melanoma<sup>34</sup>, high tumor mutational burden (TMB) may predict sensitivity to immune checkpoint inhibitors targeting PD-1/PD-L1 signaling such as avelumab.

### SUPPORTING DATA

The JAVELIN Phase 1b study has demonstrated clinical benefit from single-agent avelumab in a variety of solid tumor types, including non-small cell lung carcinoma (NSCLC)<sup>549</sup>, gastric carcinoma and gastroesophageal junction (GEJ) adenocarcinoma<sup>551</sup>, urothelial carcinoma<sup>552</sup>, mesothelioma<sup>553</sup>, ovarian carcinoma<sup>547</sup>, and breast cancer<sup>548</sup>, and from avelumab combined with axitinib in renal cell carcinoma<sup>554</sup>. Emerging clinical data show a positive trend toward the association of tumor cell PD-L1 expression and improved objective response rate, progression-free survival, or overall survival in NSCLC in the first-line setting and in ovarian and breast cancer<sup>547-548 549</sup>. Limited clinical data indicate activity of avelumab in adrenocortical carcinoma, metastatic castration-resistant prostate cancer, and thymic cancer<sup>555-556 557</sup>. Phase 3 studies are evaluating avelumab with chemoradiotherapy alone (NCT02952586) or in combination with cetuximab (NCT02999087) in patients with locally advanced head and neck squamous cell carcinoma (Mar 2017).

## Cemiplimab-rwlc

Assay findings association

**CD274 (PD-L1)**  
amplification

**Microsatellite status**  
MSI-High

**PDCD1LG2 (PD-L2)**  
amplification

**Tumor Mutational Burden**  
TMB-High (40 Muts/Mb)

### AREAS OF THERAPEUTIC USE

Cemiplimab-rwlc is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with the ligands PD-L1 and PD-L2 to enhance antitumor immune responses. It is FDA approved to treat patients with locally advanced or metastatic cutaneous squamous cell carcinoma (CSCC) that is not amenable to surgery or radiation therapy.

### GENE ASSOCIATION

Amplification of CD274 or PDCD1LG2 may lead to overexpression of PD-1 ligand(s). In multiple cancer types, PD-L1 expression correlated positively with PD-1 (on lymphocytes) and PD-L2 expression as well as improved clinical benefit in response to anti-PD-1 immunotherapies<sup>103,520 104,521 558-559 101-102</sup> and may predict sensitivity to cemiplimab-rwlc. On the basis of

prospective clinical data showing efficacy of anti-PD-1 therapies against various MSI-high (MSI-H) solid tumors<sup>522-523 524-525 9,560 8</sup>, MSI-H status may predict sensitivity to cemiplimab-rwlc. On the basis of emerging clinical data in patients with non-small cell lung cancer<sup>10,526 561</sup>, colorectal cancer<sup>9</sup>, or melanoma<sup>34</sup> and case reports in endometrial cancer<sup>38-39</sup> and glioblastoma<sup>41</sup>, high tumor mutational burden (TMB) may predict sensitivity to anti-PD-1 therapies, such as cemiplimab-rwlc.

### SUPPORTING DATA

Cemiplimab-rwlc has been studied primarily in advanced CSCC, where it elicited a combined ORR of 48% (41/85) in Phase 1 and 2 studies<sup>562</sup>. Clinical responses have also been reported in non-small cell lung cancer (40% ORR, 1 CR and 7 PRs) and basal cell carcinoma (1 PR)<sup>563-564</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT | IN OTHER TUMOR TYPE

## Cetuximab

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Cetuximab is a monoclonal antibody that targets EGFR. It is FDA approved for the treatment of head and neck squamous cell carcinoma (HNSCC) and KRAS wild-type metastatic colorectal cancer (CRC).

### GENE ASSOCIATION

EGFR amplification or activating alteration may confer sensitivity to EGFR inhibitory antibodies such as cetuximab. For patients with metastatic CRC receiving cetuximab or panitumumab as mono- or combination therapy, increased EGFR copy number associated with improved overall survival (hazard ratio = 0.62) in a meta-analysis, although increased survival was not seen in

populations that received first-line treatment with EGFR antibodies<sup>565</sup>.

### SUPPORTING DATA

In a Phase 2 trial of cetuximab in patients with metastatic or advanced soft tissue or bone sarcoma, no clinical benefit was observed irrespective of MAPK, PTEN or phospho-EGFR status<sup>566</sup>. Two case studies have reported that a combination of gefitinib with the anti-EGFR antibody cetuximab achieved a durable partial response and tumor regression in two patients with recurrent chordomas<sup>567-568</sup>. Cetuximab exhibited some efficacy against cultured osteosarcoma cells<sup>569-570</sup>.

## Crizotinib

Assay findings association

**NTRK1**  
A107V - subclonal, rearrangement intron 6

### AREAS OF THERAPEUTIC USE

Crizotinib is an inhibitor of the kinases MET, ALK, ROS1, and RON. It is FDA approved to treat patients with metastatic non-small cell lung cancer (NSCLC) whose tumors are positive for ALK rearrangements or ROS1 rearrangements.

### GENE ASSOCIATION

Alterations that activate NTRK1 may predict sensitivity to crizotinib. Clinical benefit with crizotinib treatment has been achieved in patients NTRK1-fusion-positive tumors including infantile fibrosarcoma<sup>60-61</sup>, lung adenocarcinoma<sup>57</sup>, and undifferentiated pleomorphic sarcoma<sup>571</sup>. As it is unclear if the rearrangement seen here

results in expression of an oncogenic protein, it is not known whether this therapeutic approach would be relevant.

### SUPPORTING DATA

A patient with primary undifferentiated pleomorphic sarcoma harboring an LMNA-NTRK1 fusion was treated with crizotinib and exhibited a near complete response that was ongoing at 18 months<sup>571</sup>. Several small studies have reported clinical response to crizotinib in patients with inflammatory myofibroblastic tumors (IMTs)<sup>572-573</sup>, smooth muscle tumor of uncertain malignant potential (STUMP)<sup>576</sup>, alveolar soft parts sarcoma and alveolar rhabdomyosarcoma<sup>577</sup>.

## Dacomitinib

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Dacomitinib is a second generation irreversible tyrosine kinase inhibitor that targets the kinase domains of EGFR, ERBB2/HER2, and ERBB4/HER4. It is FDA approved for the first-line treatment of patients with metastatic non-small cell lung cancer (NSCLC) with EGFR exon 19 deletion or exon 21 L858R substitution mutations.

### GENE ASSOCIATION

On the basis of clinical<sup>578-579</sup> and preclinical<sup>581-582</sup> data, EGFR amplification or activating mutation may indicate sensitivity to dacomitinib.

### SUPPORTING DATA

Clinical data on the efficacy of dacomitinib for the treatment of sarcoma are limited (PubMed, Oct 2018). Investigations into the efficacy of dacomitinib have primarily been in the context of non-small cell lung cancer (NSCLC). Patients with EGFR-mutant NSCLC

treated with dacomitinib exhibited significant improvement in OS compared with gefitinib treatment (median OS, 34.1 vs. 26.8 months)<sup>128,578</sup>. A Phase 2 study of dacomitinib in patients with advanced penile squamous cell carcinoma (SCC) reported an ORR of 32% (1 CR, 8 PR), including a 100% DCR (1 CR, 1 PR, 2 SD) in four patients with EGFR amplification<sup>580,583</sup>. A Phase 2 study of dacomitinib in patients with recurrent or metastatic head and neck SCC reported clinical benefit (defined as PFS>4 months) in 13/31 (42%) of patients<sup>584</sup>. Studies of dacomitinib in esophageal<sup>585</sup> and cutaneous<sup>586</sup> SCC reported RRs of 12.5% (6/48) and 28.6% (12/42), respectively, but high DCRs of 73% and 86%, respectively. On the other hand, trials of dacomitinib in heavily pretreated patients with HER2+ gastric cancer<sup>587</sup> and patients with EGFR-amplified glioblastoma<sup>588</sup> found RRs of fewer than 10% and DCRs of fewer than 50%: 11/27 (41%) DCR in HER2+ gastric cancer<sup>587</sup> and 15/49 (31%) in EGFR-amplified glioblastoma<sup>588</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Durvalumab

Assay findings association

**CD274 (PD-L1)**  
amplification

**Microsatellite status**  
MSI-High

**PDCD1LG2 (PD-L2)**  
amplification

**Tumor Mutational Burden**  
TMB-High (40 Muts/Mb)

### AREAS OF THERAPEUTIC USE

Durvalumab is a monoclonal antibody that binds to PD-L1 and blocks its interaction with PD-1 to enhance antitumor immune responses. It is FDA approved to treat patients with advanced urothelial carcinoma that has progressed on or after platinum chemotherapy or within 12 months of neoadjuvant or adjuvant platinum chemotherapy. Durvalumab is also approved to treat patients with unresectable, Stage 3 non-small cell lung cancer that has not progressed following concurrent platinum-based chemotherapy and radiation.

### GENE ASSOCIATION

CD274 alterations, such as amplification or rearrangement, may lead to overexpression of PD-L1 and predict sensitivity to PD-L1-blocking antibodies such as durvalumab based on clinical evidence in multiple solid tumor types<sup>171,547 548-549 94,550 98-99 96-9792,535 95</sup>. On the basis of emerging clinical data in patients with MSI-H colorectal cancer<sup>3</sup>, endometrial cancer<sup>4</sup>, or gastric/gastroesophageal junction cancer<sup>5</sup>, MSI-H status may predict sensitivity to anti-PD-L1 therapies such as durvalumab. Amplification of PDCD1LG2, which is often co-amplified with CD274, may lead to PD-L2 overexpression and predict sensitivity to PD-L1-blocking antibodies such as durvalumab. Although durvalumab does not block the interaction between PD-L2 and PD-1, clinical evidence in multiple solid tumor types suggests that PD-L2 expression may correlate with improved overall survival and response to the similar PD-L1-blocking antibody atezolizumab<sup>171,535 92</sup>. On the basis of emerging clinical data in patients with urothelial carcinoma<sup>33</sup>, non-small cell lung cancer<sup>526,536</sup>, or melanoma<sup>34</sup>, high tumor mutational burden (TMB) may

predict sensitivity to immune checkpoint inhibitors targeting PD-1/PD-L1 signaling such as durvalumab.

### SUPPORTING DATA

Single-agent durvalumab has demonstrated efficacy in urothelial carcinoma<sup>94-95</sup>, non-small cell lung cancer<sup>96-97</sup>, and head and neck squamous cell carcinoma<sup>98,589</sup>. In patients with advanced solid tumors, durvalumab monotherapy has elicited disease control rates (DCRs) of 36-46% (7/19 to 12/26) in Phase 1/2 studies<sup>590-591</sup>. Durvalumab is also under investigation in combination with other agents in Phase 1/2 trials. In advanced melanoma, durvalumab in combination with trametinib and dabrafenib elicited objective response rates (ORRs) and DCRs of 76% (16/21) and 100% (21/21) in patients with BRAF-mutant tumors, and durvalumab with trametinib elicited ORRs and DCRs of 21% (3/14) and 64% (9/14) in patients whose tumors were BRAF wild-type<sup>523</sup>. Durvalumab in combination with the PARP inhibitor olaparib has shown activity in patients with metastatic castration-resistant prostate cancer and progression on enzalutamide and/or abiraterone<sup>592</sup> and in patients with BRCA-wild-type breast or gynecological cancer<sup>593</sup>. Durvalumab in combination with the anti-CTLA4 antibody tremelimumab, but not durvalumab as a single-agent, has shown activity in patients with previously treated advanced germ cell tumors<sup>594</sup>. Responses have also been reported for patients with solid tumors treated with durvalumab in combination with the anti-PD-1 antibody MEDI0680<sup>595</sup>, the CXCR2 antagonist AZD5069<sup>596</sup>, or the ATR inhibitor AZD6738<sup>597</sup>. In patients with treatment-refractory solid tumors, concurrent durvalumab and radiotherapy achieved an ORR of 60% (6/10) for in-field evaluable lesions, including 2 complete and 4 partial responses<sup>598</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Erlotinib

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Erlotinib is a small-molecule inhibitor of EGFR. It is FDA approved both as first-line and maintenance therapy, as well as second or greater line of treatment after chemotherapy failure, for patients with metastatic non-small cell lung cancer (NSCLC) harboring EGFR exon 19 deletions or exon 21 (L858R) mutations. Erlotinib is also FDA approved in combination with gemcitabine as a first-line treatment for advanced pancreatic cancer.

### GENE ASSOCIATION

Amplification or activation of EGFR may predict sensitivity to therapies such as erlotinib. For patients with advanced NSCLC receiving single-agent erlotinib or gefitinib, increased EGFR copy number associated with improved overall survival (hazard ratio [HR] = 0.77) in a meta-analysis, although the survival benefit was not observed for East Asian populations (HR = 1.11)<sup>599-600 601</sup>.

### SUPPORTING DATA

The approval of erlotinib in NSCLC is based on a Phase 3 randomized trial demonstrating prolonged overall survival for unselected NSCLC patients treated with erlotinib compared to standard chemotherapy<sup>602</sup>. Furthermore, several randomized Phase 3 trials have shown a significant improvement in response and progression-free survival for this class of medications compared with combination chemotherapy in patients with known EGFR mutations, including the EURTAC trial of erlotinib vs. platinum-based chemotherapy<sup>123</sup>. A

Phase 3 clinical trial comparing erlotinib to gemcitabine in patients with unresectable, locally advanced, or metastatic pancreatic cancer reported improved overall survival when compared to patients treated with gemcitabine alone (6.24 vs. 5.91 months)<sup>603</sup>. In breast cancer, erlotinib as a single therapy has been reported to have minimal efficacy<sup>604</sup>. A Phase 1 study of the combination therapy of erlotinib with capecitabine and docetaxel in patients with metastatic breast cancer reported an overall 67% response rate; however, the authors suggested that these results will require confirmation in larger, randomized studies<sup>605</sup>. A Phase 2 clinical trial of erlotinib in gastric adenocarcinoma reported no clinical responses, although there were no instances of EGFR mutation or amplification in this study group<sup>606</sup>. A Phase 2 study in patients with metastatic esophageal or gastroesophageal junction (GEJ) cancer reported partial responses in 8% (2/24) of patients with EGFR-positive tumors, but responses were only observed in patients with squamous cell carcinoma and not in patients with adenocarcinoma<sup>607-608</sup>. Erlotinib in combination with modified FOLFOX6 has shown activity in patients with metastatic or advanced esophageal or GEJ cancer, with 6.1% (2/33) and 45.5% (15/33) of evaluable patients exhibiting complete responses and partial responses, respectively<sup>609</sup>. A study of elderly patients with esophageal or GEJ carcinoma treated with erlotinib and radiation therapy reported an overall survival of 73 months<sup>610</sup>.

## Gefitinib

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Gefitinib targets the tyrosine kinase EGFR and is FDA approved to treat non-small cell lung cancer (NSCLC) harboring exon 19 deletions or exon 21 (L858R) substitution mutations in EGFR.

### GENE ASSOCIATION

Amplification or activation of EGFR may predict sensitivity to therapies such as gefitinib. Clinical studies have consistently shown significant improvement in response rates and progression-free survival for patients with EGFR-mutated NSCLC treated with gefitinib, compared to chemotherapy<sup>611-612 613-614 615-616 617</sup>. For patients with advanced NSCLC receiving single-agent erlotinib or gefitinib, increased EGFR copy number associated with improved overall survival (hazard ratio [HR] = 0.77) in a meta-analysis, although the survival benefit was not observed for East Asian populations (HR = 1.11)<sup>599-600 601</sup>. Patients with refractory advanced esophageal carcinoma and EGFR amplification derived

significant overall survival benefit from gefitinib compared to placebo (HR = 0.21)<sup>618-619</sup>.

### SUPPORTING DATA

A Phase 1 study of the combination of gefitinib with the VEGFR-2 inhibitor cediranib reported partial responses for 9% (8/90) of patients, including 1 with osteosarcoma, and stable disease for 42% (38/90) of others<sup>620</sup>. A Phase 2 trial of gefitinib in patients with synovial sarcomas expressing EGFR and refractory to doxorubicin did not find significant clinical activity associated with gefitinib<sup>621</sup>. A Phase 1 trial of 29 pediatric patients with refractory solid tumors treated with gefitinib and irinotecan found that the combination was well tolerated and that gefitinib increased the bioavailability of irinotecan; this study recorded a partial response in one patient with Ewing sarcoma<sup>622</sup>. Case reports describe that gefitinib combined with the anti-EGFR antibody cetuximab achieved a durable partial response and tumor regression in two patients with recurrent chordomas<sup>567-568</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

## Lapatinib

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Lapatinib is a tyrosine kinase inhibitor that targets EGFR, ERBB2/HER2, and to a lesser degree, ERBB4. It is FDA approved in combination with capecitabine or letrozole for the treatment of HER2-overexpressing (HER2+) metastatic breast cancer.

### GENE ASSOCIATION

EGFR amplification or activation may confer sensitivity to EGFR/multi-tyrosine kinase inhibitors, such as lapatinib. A Phase 2 study of lapatinib in non-small cell lung cancer did not observe any responses for five patients with EGFR amplification<sup>623</sup>.

### SUPPORTING DATA

Clinical data on the efficacy of lapatinib for the treatment of sarcoma are limited (PubMed, Feb 2018). Investigations into the efficacy of lapatinib have primarily been in the context of breast cancer<sup>624-625 626-627 628-629</sup>. As first-line therapy for HER2+ metastatic breast cancer, lapatinib plus

taxane resulted in shorter median progression-free survival (PFS) compared with trastuzumab plus taxane (9.0 vs. 11.3 months, hazard ratio of 1.37)<sup>630</sup>. For patients who have progressed on trastuzumab plus taxane, adotrastuzumab emtansine (T-DM1) was superior to lapatinib plus capecitabine (overall survival (OS) of 30.9 vs. 25.1 months)<sup>631</sup>. In postmenopausal patients with hormone receptor-positive (HR+) HER2+ metastatic breast cancer, lapatinib combined with letrozole increased median PFS compared to letrozole alone (8.2 vs. 3.0 months)<sup>632</sup>. A Phase 2 study selecting patients with ERBB2-amplified solid tumors reported one complete response in a patient with esophageal adenocarcinoma<sup>633</sup>. Phase 1 studies evaluating lapatinib alone or in combination with chemotherapy agents reported partial responses in patients with various solid tumors and one complete response in a patient with EGFR-overexpressing head and neck squamous cell carcinoma<sup>634-635 636-637</sup>. In a Phase 1 trial of lapatinib plus pazopanib, one patient with a salivary gland tumor experienced a partial response<sup>638</sup>.

## Nivolumab

Assay findings association

**CD274 (PD-L1)**  
amplification

**Microsatellite status**  
MSI-High

**PDCD1LG2 (PD-L2)**  
amplification

**Tumor Mutational Burden**  
TMB-High (40 Muts/Mb)

### AREAS OF THERAPEUTIC USE

Nivolumab is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with PD-L1 and PD-L2, thereby reducing inhibition of the antitumor immune response. It is FDA approved as adjuvant treatment for completely resected advanced melanoma and as treatment for unresectable or metastatic melanoma as both a single agent and in combination with the immunotherapy ipilimumab. Nivolumab is also approved in combination with ipilimumab to treat intermediate- or poor-risk, previously untreated advanced renal cell carcinoma (RCC) and as monotherapy to treat advanced RCC after prior antiangiogenic therapy. Nivolumab is also approved to treat metastatic non-small cell lung cancer (NSCLC) after progression on prior treatments, recurrent or metastatic head and neck squamous cell carcinoma (HNSCC) after progression on or after platinum-based therapy, advanced urothelial carcinoma after progression on or after platinum chemotherapy or within 12 months of neoadjuvant or adjuvant platinum chemotherapy, hepatocellular carcinoma (HCC) previously treated with sorafenib, classical Hodgkin lymphoma (cHL) that has relapsed or progressed after autologous hematopoietic stem cell transplantation (HSCT) and posttransplantation brentuximab vedotin, and metastatic small cell lung cancer (SCLC) after progression on platinum-based chemotherapy and at least one other line of therapy. Furthermore, nivolumab is approved as both a single agent and in combination with ipilimumab to treat patients 12 years and older with mismatch repair-deficient (dMMR) or microsatellite instability-high (MSI-H) metastatic colorectal cancer (CRC) that has progressed on fluoropyrimidine, oxaliplatin, and irinotecan.

### GENE ASSOCIATION

Amplification of CD274 or PDCD1LG2 may lead to overexpression of PD-1 ligand(s) and may predict sensitivity to nivolumab. In various advanced solid tumors, including melanoma, lung, kidney, prostate, and colorectal cancer, PD-L1 expression correlated positively with PD-1 (on lymphocytes) and PD-L2 expression as well as with objective response to nivolumab<sup>104,559</sup>. On the basis of prospective clinical data showing efficacy of nivolumab for patients with MSI-H CRC<sup>8,560</sup>, MSI-H status may predict sensitivity to nivolumab. On the basis of emerging clinical data in patients with non-small cell lung cancer<sup>10,526 561</sup>, colorectal cancer<sup>9</sup>, or melanoma<sup>34</sup> and case reports in endometrial cancer<sup>38-39</sup> and glioblastoma<sup>41</sup>, high tumor mutational burden (TMB) may predict sensitivity to anti-PD-1 therapies such as nivolumab.

### SUPPORTING DATA

A retrospective analysis of nivolumab as a monotherapy or in combination with pazopanib for patients with previously treated metastatic sarcomas reported clinical benefit for 39% (9/23) of the overall cohort; two patients with dedifferentiated chondrosarcoma and intimal sarcoma experienced partial responses to nivolumab, and one case with epithelioid sarcoma responded to nivolumab plus pazopanib<sup>639</sup>. Nivolumab did not show antitumor activity for any of 12 genomically unselected patients with uterine leiomyosarcoma in a Phase 2 trial<sup>640</sup>; however, 3/7 patients with leiomyosarcoma were reported to benefit from regimens containing nivolumab in one study<sup>639</sup>. In a case study, nivolumab treatment elicited 6 months of regressive disease in a patient with PD-L1-positive leiomyosarcoma<sup>641</sup>.



TRF#

THERAPIES WITH CLINICAL BENEFIT | IN OTHER TUMOR TYPE

## Panitumumab

Assay findings association

**EGFR**  
amplification - equivocal

### AREAS OF THERAPEUTIC USE

Panitumumab is a monoclonal antibody that targets EGFR. It is FDA approved to treat KRAS wild-type and NRAS wild-type metastatic colorectal cancer (CRC) combined with chemotherapy or as monotherapy for patients who have progressed on prior chemotherapy.

### GENE ASSOCIATION

EGFR amplification or activating alteration may confer sensitivity to EGFR inhibitory antibodies such as panitumumab. For patients with metastatic CRC receiving cetuximab or panitumumab as mono- or combination

therapy, increased EGFR copy number associated with improved overall survival (hazard ratio = 0.62) in a meta-analysis, although increased survival was not seen in populations that received first-line treatment with EGFR antibodies<sup>565</sup>.

### SUPPORTING DATA

A Phase 1 study of panitumumab in combination with the anti-IGF-1R antibody ganitumab and the mTOR inhibitor everolimus, which included 5 patients with sarcoma, reported prolonged (>24 months) SD in one patient with chondrosarcoma<sup>642</sup>.

## Pembrolizumab

Assay findings association

**CD274 (PD-L1)**  
amplification

**PDCD1LG2 (PD-L2)**  
amplification

**Tumor Mutational Burden**  
TMB-High (40 Muts/Mb)

### AREAS OF THERAPEUTIC USE

Pembrolizumab is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with the ligands PD-L1 and PD-L2 to enhance antitumor immune responses. It is FDA approved as second-line treatment for adult and pediatric patients with unresectable or metastatic microsatellite instability-high (MSI-H) or mismatch repair deficient (dMMR) solid tumors or with MSI-H or dMMR colorectal cancer that has progressed on fluoropyrimidine, oxaliplatin, and irinotecan. Pembrolizumab is also approved in unresectable or metastatic melanoma, recurrent or metastatic head and neck squamous cell carcinoma that has progressed on or after platinum chemotherapy, hepatocellular carcinoma previously treated with sorafenib, adult or pediatric classical Hodgkin lymphoma that is refractory or following relapse after 3 or more prior lines of therapy, adult or pediatric primary mediastinal large B-cell lymphoma (PMBCL) that is refractory or has relapsed after 2 or more prior lines of therapy, PD-L1-positive gastric or gastroesophageal junction (GEJ) adenocarcinoma that has progressed on 2 or more lines of therapy, PD-L1-positive recurrent or metastatic cervical cancer that has progressed on or after chemotherapy, and adult or pediatric recurrent locally advanced or metastatic Merkel cell carcinoma (MCC). Pembrolizumab is also approved in PD-L1-positive metastatic non-small cell lung cancer (NSCLC) following progression on prior therapy, as first-line treatment for metastatic NSCLC with high PD-L1 expression and without EGFR or ALK genomic alterations, as first-line treatment in combination with pemetrexed and carboplatin for metastatic non-squamous NSCLC without EGFR or ALK genomic alterations, and as first-line treatment in combination with carboplatin and paclitaxel or nab-paclitaxel for metastatic squamous NSCLC. It is also approved to treat patients with advanced urothelial carcinoma who are not eligible for any platinum-containing chemotherapy, who have PD-L1 positive tumors and are not eligible for cisplatin-containing chemotherapy, or who progress on or after platinum chemotherapy or within 12 months of neoadjuvant or adjuvant platinum chemotherapy.

### GENE ASSOCIATION

Amplification of CD274 or PDCD1LG2 may lead to overexpression of PD-1 ligand(s) and may predict sensitivity to pembrolizumab. A patient with cancer of unknown primary harboring CD274 amplification experienced lasting partial remission upon treatment with pembrolizumab<sup>100</sup>. PD-L1 expression in at least 50% of tumor cells was associated with a higher response rate and longer overall survival in patients with non-small cell lung cancer (NSCLC)<sup>520-521</sup>. One trial in patients with melanoma observed an improved objective response rate (51% vs. 6%) and progression-free survival (12 vs. 3 months) for PD-L1 positive compared to PD-L1 negative tumors<sup>103</sup>. Furthermore, PD-L1 expression correlated positively with expression of PD-1 (on lymphocytes) and PD-L2, as well as with objective response to the anti-PD-1 antibody nivolumab in various advanced solid tumors<sup>104</sup>. On the basis of multiple prospective clinical studies showing efficacy of pembrolizumab against various microsatellite instability (MSI)-high or mismatch repair-deficient solid tumors<sup>522-523 524-525</sup>, MSI may predict sensitivity to pembrolizumab. On the basis of emerging clinical data in patients with non-small cell lung cancer<sup>10,526 37</sup>, colorectal cancer<sup>9</sup>, or melanoma<sup>34</sup> and case reports in endometrial cancer<sup>38-39</sup> and glioblastoma<sup>40-41</sup>, high tumor mutational burden (TMB) may predict sensitivity to anti-PD-1 therapies such as pembrolizumab.

### SUPPORTING DATA

A Phase 2 study of pembrolizumab for patients with advanced soft tissue or bone sarcomas reported objective responses for 22% (2/9) of undifferentiated pleomorphic sarcoma (UPS) cases and 5% (1/19) of bone sarcoma cases<sup>527</sup>. Although objective responses were not seen for patients with leiomyosarcoma (LMS, 0/10), liposarcoma (LPS, 0/9), synovial sarcoma (0/10), Ewing sarcoma (0/13), or chondrosarcoma (CS, 0/6) at 8 weeks of therapy, three additional partial responses were recorded for cases with UPS, LPS, or CS after 20 weeks of pembrolizumab<sup>527</sup>. In a Phase 1b trial of pembrolizumab for PD-L1-positive advanced solid tumors, a patient with resected uterine LMS had a complete pathological response at all but one metastatic site<sup>528</sup>. Pembrolizumab combined with liposomal doxorubicin achieved prolonged stable disease for a patient with sarcoma<sup>529</sup>.

TRF#

THERAPIES WITH CLINICAL BENEFIT IN OTHER TUMOR TYPE

**NOTE** Genomic alterations detected may be associated with activity of certain FDA approved drugs, however the agents listed in this report may have little or no evidence in the patient's tumor type.

SAMPLE

TRF#

**CLINICAL TRIALS**

**IMPORTANT** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

**BIOMARKER**

**Microsatellite status**

**CATEGORY**  
MSI-High

**RATIONALE**

High microsatellite instability (MSI) and mutational burden may predict response to anti-

PD-1 and anti-PD-L1 immune checkpoint inhibitors.

**NCT02091141**

**PHASE 2**

My Pathway: An Open Label Phase IIa Study Evaluating Trastuzumab/Pertuzumab, Erlotinib, Vemurafenib/Cobimetinib, and Vismodegib in Patients Who Have Advanced Solid Tumors With Mutations or Gene Expression Abnormalities Predictive of Response to One of These Agents

**TARGETS**  
ERBB3, ERBB2, EGFR, BRAF, MEK, SMO, ALK, RET, PD-L1

**LOCATIONS:** Arizona, Arkansas, California, Colorado, Florida, Georgia, Illinois, Maryland, Minnesota, Missouri, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Virginia, Washington, Wisconsin

**NCT03092323**

**PHASE 2**

SU2C-SARCO32: A Phase II Randomized Controlled Trial of Neoadjuvant Pembrolizumab With Radiotherapy and Adjuvant Pembrolizumab in Patients With High-Risk, Localized Soft Tissue Sarcoma of the Extremity

**TARGETS**  
PD-1

**LOCATIONS:** California, Florida, Iowa, Maryland, Massachusetts, Michigan, Missouri, Camperdown (Australia), New York, North Carolina, Ohio, Pennsylvania, Montreal (Canada), Brisbane (Australia)

**NCT03084471**

**PHASE 3**

An Open-Label, Multi-Centre, Safety Study of Fixed-Dose Durvalumab + Tremelimumab Combination Therapy or Durvalumab Monotherapy in Advanced Solid Malignancies.

**TARGETS**  
PD-L1, CTLA-4

**LOCATIONS:** Alaska, California, District of Columbia, Florida, Georgia, Iowa, Michigan, Montana, Nebraska, Moncton (Canada), New Jersey, New York, Oklahoma, Brampton (Canada), Hamilton (Canada), Kingston (Canada), London (Canada), Newmarket (Canada), Toronto (Canada), Oregon, Greenfield Park (Canada), South Carolina, Tennessee, Texas, Virginia, Washington, Quebec (Canada), Besançon Cedex (France), Bordeaux Cedex (France), Brest (France), Dijon (France), Lille Cedex (France), Nice (France), Paris (France), Pierre Benite (France), Saint Herblain Cedex (France), Strasbourg Cedex (France), Toulouse (France), Tours CEDEX (France), Villejuif (France), Berlin (Germany), Bielefeld (Germany), Dresden (Germany), Duisburg (Germany), Erlangen (Germany), Essen (Germany), Guetersloh (Germany), Hamburg (Germany), Jena (Germany), Kiel (Germany), Lübeck (Germany), Muenster (Germany), Münster (Germany), Rostock (Germany), Stuttgart (Germany), Wiesbaden (Germany), Würzburg (Germany), Ancona (Italy), Arezzo (Italy), Avellino (Italy), Catania (Italy), Lecce (Italy), Meldola (Italy), Milano (Italy), Modena (Italy), Ravenna (Italy), Roma (Italy), Rozzano (Italy), Busan (Korea, Republic of), Goyang-si (Korea, Republic of), Seoul (Korea, Republic of), Leiden (Netherlands), Basel (Switzerland), Genolier (Switzerland), London (United Kingdom), Newcastle (United Kingdom), Plymouth (United Kingdom), Sheffield (United Kingdom)

**NCT02646748**

**PHASE 1**

A Platform Study Exploring the Safety, Tolerability, Effect on the Tumor Microenvironment, and Efficacy of Pembrolizumab + INCB Combinations in Advanced Solid Tumors

**TARGETS**  
JAK1, PD-1, PI3K-delta

**LOCATIONS:** California, District of Columbia, Florida, Maryland, Massachusetts, Michigan, New York, North Carolina, Pennsylvania, Texas, Utah

TRF#

**CLINICAL TRIALS**
**NCT02693535**
**PHASE 2**

Targeted Agent and Profiling Utilization Registry (TAPUR) Study

**TARGETS**  
 VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, PDGFRs, RET, mTOR, EGFR, ERBB3, ERBB2, BRAF, MEK, SMO, DDR2, RAF1, PARP, PD-1, CTLA-4

**LOCATIONS:** Alabama, Arizona, California, Florida, Georgia, Illinois, Michigan, Nebraska, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Dakota, Texas, Utah, Virginia, Washington

**NCT02099058**
**PHASE 1**

A Multicenter, Phase 1/1b, Open-Label, Dose-Escalation Study of ABBV-399, an Antibody Drug Conjugate, in Subjects With Advanced Solid Tumors

**TARGETS**  
 VEGFA, MET, EGFR, PD-1

**LOCATIONS:** California, Colorado, Meldola (Italy), Villejuif (France), Illinois, Massachusetts, Michigan, Missouri, North Carolina, Marseille CEDEX 05 (France), Tainan City (Taiwan), Taipei City (Taiwan), Tennessee, Texas, Virginia, Tampere (Finland)

**NCT03264066**
**PHASE 2**

A Phase II, Open-Label, Multicenter, Multicohort Study to Investigate the Efficacy and Safety of Cobimetinib Plus Atezolizumab in Patients With Solid Tumors

**TARGETS**  
 PD-L1, MEK

**LOCATIONS:** Kansas, New York, Tennessee, Kortrijk (Belgium), Nyiregyháza (Hungary), Seoul (Korea, Republic of), London (United Kingdom)

**NCT01876511**
**PHASE 2**

Phase 2 Study of MK-3475 in Patients With Microsatellite Unstable (MSI) Tumors

**TARGETS**  
 PD-1

**LOCATIONS:** California, Maryland, Ohio, Oregon, Pennsylvania

**NCT03089645**
**PHASE 1**

A Phase 1 First Time in Human Study to Evaluate the Safety, Pharmacokinetics and Immunogenicity of MEDI5083 Alone and in Combination With Durvalumab in Selected Advanced Solid Tumors

**TARGETS**  
 PD-L1, CD40

**LOCATIONS:** New Jersey, Rhode Island, Tennessee, Clayton (Australia), Melbourne (Australia), Randwick (Australia)

**NCT02484404**
**PHASE 1/2**

Phase I/II Study of the Anti-Programmed Death Ligand-1 Antibody MEDI4736 in Combination With Olaparib and/or Cediranib for Advanced Solid Tumors and Advanced or Recurrent Ovarian, Triple Negative Breast, Lung, Prostate and Colorectal Cancers

**TARGETS**  
 PARP, PD-L1, VEGFRs

**LOCATIONS:** Maryland

TRF#

**CLINICAL TRIALS**

**BIOMARKER**

**Tumor Mutational Burden**

**RATIONALE**

High tumor mutational burden may predict response to anti-PD-1 and anti-PD-L1 immune checkpoint inhibitors.

**CATEGORY**

TMB-High (40 Muts/Mb)

**NCT02091141**

**PHASE 2**

My Pathway: An Open Label Phase IIa Study Evaluating Trastuzumab/Pertuzumab, Erlotinib, Vemurafenib/Cobimetinib, and Vismodegib in Patients Who Have Advanced Solid Tumors With Mutations or Gene Expression Abnormalities Predictive of Response to One of These Agents

**TARGETS**

ERBB3, ERBB2, EGFR, BRAF, MEK, SMO, ALK, RET, PD-L1

**LOCATIONS:** Arizona, Arkansas, California, Colorado, Florida, Georgia, Illinois, Maryland, Minnesota, Missouri, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Virginia, Washington, Wisconsin

**NCT03092323**

**PHASE 2**

SU2C-SARCO32: A Phase II Randomized Controlled Trial of Neoadjuvant Pembrolizumab With Radiotherapy and Adjuvant Pembrolizumab in Patients With High-Risk, Localized Soft Tissue Sarcoma of the Extremity

**TARGETS**

PD-1

**LOCATIONS:** California, Florida, Iowa, Maryland, Massachusetts, Michigan, Missouri, Camperdown (Australia), New York, North Carolina, Ohio, Pennsylvania, Montreal (Canada), Brisbane (Australia)

**NCT03084471**

**PHASE 3**

An Open-Label, Multi-Centre, Safety Study of Fixed-Dose Durvalumab + Tremelimumab Combination Therapy or Durvalumab Monotherapy in Advanced Solid Malignancies.

**TARGETS**

PD-L1, CTLA-4

**LOCATIONS:** Alaska, California, District of Columbia, Florida, Georgia, Iowa, Michigan, Montana, Nebraska, Moncton (Canada), New Jersey, New York, Oklahoma, Brampton (Canada), Hamilton (Canada), Kingston (Canada), London (Canada), Newmarket (Canada), Toronto (Canada), Oregon, Greenfield Park (Canada), South Carolina, Tennessee, Texas, Virginia, Washington, Quebec (Canada), Besançon Cedex (France), Bordeaux Cedex (France), Brest (France), Dijon (France), Lille Cedex (France), Nice (France), Paris (France), Pierre Benite (France), Saint Herblain Cedex (France), Strasbourg Cedex (France), Toulouse (France), Tours CEDEX (France), Villejuif (France), Berlin (Germany), Bielefeld (Germany), Dresden (Germany), Duisburg (Germany), Erlangen (Germany), Essen (Germany), Guetersloh (Germany), Hamburg (Germany), Jena (Germany), Kiel (Germany), Lübeck (Germany), Muenster (Germany), Münster (Germany), Rostock (Germany), Stuttgart (Germany), Wiesbaden (Germany), Würzburg (Germany), Ancona (Italy), Arezzo (Italy), Avellino (Italy), Catania (Italy), Lecce (Italy), Meldola (Italy), Milano (Italy), Modena (Italy), Ravenna (Italy), Rozzano (Italy), Busan (Korea, Republic of), Goyang-si (Korea, Republic of), Seoul (Korea, Republic of), Leiden (Netherlands), Basel (Switzerland), Genolier (Switzerland), London (United Kingdom), Newcastle (United Kingdom), Plymouth (United Kingdom), Sheffield (United Kingdom)

**NCT02646748**

**PHASE 1**

A Platform Study Exploring the Safety, Tolerability, Effect on the Tumor Microenvironment, and Efficacy of Pembrolizumab + INCB Combinations in Advanced Solid Tumors

**TARGETS**

JAK1, PD-1, PI3K-delta

**LOCATIONS:** California, District of Columbia, Florida, Maryland, Massachusetts, Michigan, New York, North Carolina, Pennsylvania, Texas, Utah

**NCT02693535**

**PHASE 2**

Targeted Agent and Profiling Utilization Registry (TAPUR) Study

**TARGETS**

VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, PDGFRs, RET, mTOR, EGFR, ERBB3, ERBB2, BRAF, MEK, SMO, DDR2, RAF1, PARP, PD-1, CTLA-4

**LOCATIONS:** Alabama, Arizona, California, Florida, Georgia, Illinois, Michigan, Nebraska, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Dakota, Texas, Utah, Virginia, Washington

TRF#

**CLINICAL TRIALS**
**NCT02099058**
**PHASE 1**

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**TARGETS**  
 VEGFA, MET, EGFR, PD-1

**LOCATIONS:** California, Colorado, Meldola (Italy), Villejuif (France), Illinois, Massachusetts, Michigan, Missouri, North Carolina, Marseille CEDEX 05 (France), Tainan City (Taiwan), Taipei City (Taiwan), Tennessee, Texas, Virginia, Tampere (Finland)

**NCT03264066**
**PHASE 2**

A Phase II, Open-Label, Multicenter, Multicohort Study to Investigate the Efficacy and Safety of Cobimetinib Plus Atezolizumab in Patients With Solid Tumors

**TARGETS**  
 PD-L1, MEK

**LOCATIONS:** Kansas, New York, Tennessee, Kortrijk (Belgium), Nyíregyháza (Hungary), Seoul (Korea, Republic of), London (United Kingdom)

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**PHASE 1**

A Phase 1 First Time in Human Study to Evaluate the Safety, Pharmacokinetics and Immunogenicity of MEDI5083 Alone and in Combination With Durvalumab in Selected Advanced Solid Tumors

**TARGETS**  
 PD-L1, CD40

**LOCATIONS:** New Jersey, Rhode Island, Tennessee, Clayton (Australia), Melbourne (Australia), Randwick (Australia)

**NCT02484404**
**PHASE 1/2**

Phase I/II Study of the Anti-Programmed Death Ligand-1 Antibody MEDI4736 in Combination With Olaparib and/or Cediranib for Advanced Solid Tumors and Advanced or Recurrent Ovarian, Triple Negative Breast, Lung, Prostate and Colorectal Cancers

**TARGETS**  
 PARP, PD-L1, VEGFRs

**LOCATIONS:** Maryland

**NCT03126591**
**PHASE 1**

An Open-Label, Multicenter, Phase 1a/1b Study of Olaratumab (LY3012207) Plus Pembrolizumab (MK3475) in Patients With Unresectable Locally Advanced or Metastatic Soft Tissue Sarcoma (STS) Who Have Failed Standard Treatments

**TARGETS**  
 PD-1, PDGFRα

**LOCATIONS:** New York, Pennsylvania, Leuven (Belgium), Herlev (Denmark), Villejuif Cedex (France)

TRF#

**CLINICAL TRIALS**

**GENE**  
**CD274 (PD-L1)**

**ALTERATION**  
amplification

**RATIONALE**  
CD274 (PD-L1) amplification or rearrangements that disrupt the 3' UTR may promote PD-1 signaling and inhibit the anti-tumor immune response. Antibodies that block the interaction of

PD-L1 and PD-1 may therefore be beneficial to release the anti-tumor immune response. Furthermore, JAK2 inhibitors may be relevant, because they may reduce PD-L1 expression.

**NCT02091141**

**PHASE 2**

My Pathway: An Open Label Phase IIa Study Evaluating Trastuzumab/Pertuzumab, Erlotinib, Vemurafenib/Cobimetinib, and Vismodegib in Patients Who Have Advanced Solid Tumors With Mutations or Gene Expression Abnormalities Predictive of Response to One of These Agents

**TARGETS**  
ERBB3, ERBB2, EGFR, BRAF, MEK, SMO, ALK, RET, PD-L1

**LOCATIONS:** Arizona, Arkansas, California, Colorado, Florida, Georgia, Illinois, Maryland, Minnesota, Missouri, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Virginia, Washington, Wisconsin

**NCT03092323**

**PHASE 2**

SU2C-SARCO32: A Phase II Randomized Controlled Trial of Neoadjuvant Pembrolizumab With Radiotherapy and Adjuvant Pembrolizumab in Patients With High-Risk, Localized Soft Tissue Sarcoma of the Extremity

**TARGETS**  
PD-1

**LOCATIONS:** California, Florida, Iowa, Maryland, Massachusetts, Michigan, Missouri, Camperdown (Australia), New York, North Carolina, Ohio, Pennsylvania, Montreal (Canada), Brisbane (Australia)

**NCT03084471**

**PHASE 3**

An Open-Label, Multi-Centre, Safety Study of Fixed-Dose Durvalumab + Tremelimumab Combination Therapy or Durvalumab Monotherapy in Advanced Solid Malignancies.

**TARGETS**  
PD-L1, CTLA-4

**LOCATIONS:** Alaska, California, District of Columbia, Florida, Georgia, Iowa, Michigan, Montana, Nebraska, Moncton (Canada), New Jersey, New York, Oklahoma, Brampton (Canada), Hamilton (Canada), Kingston (Canada), London (Canada), Newmarket (Canada), Toronto (Canada), Oregon, Greenfield Park (Canada), South Carolina, Tennessee, Texas, Virginia, Washington, Quebec (Canada), Besançon Cedex (France), Bordeaux Cedex (France), Brest (France), Dijon (France), Lille Cedex (France), Nice (France), Paris (France), Pierre Benite (France), Saint Herblain Cedex (France), Strasbourg Cedex (France), Toulouse (France), Tours CEDEX (France), Villejuif (France), Berlin (Germany), Bielefeld (Germany), Dresden (Germany), Duisburg (Germany), Erlangen (Germany), Essen (Germany), Guetersloh (Germany), Hamburg (Germany), Jena (Germany), Kiel (Germany), Lübeck (Germany), Muenster (Germany), Münster (Germany), Rostock (Germany), Stuttgart (Germany), Wiesbaden (Germany), Würzburg (Germany), Ancona (Italy), Arezzo (Italy), Avellino (Italy), Catania (Italy), Lecce (Italy), Meldola (Italy), Milano (Italy), Modena (Italy), Ravenna (Italy), Rozzano (Italy), Busan (Korea, Republic of), Goyang-si (Korea, Republic of), Seoul (Korea, Republic of), Leiden (Netherlands), Basel (Switzerland), Genolier (Switzerland), London (United Kingdom), Newcastle (United Kingdom), Plymouth (United Kingdom), Sheffield (United Kingdom)

**NCT02646748**

**PHASE 1**

A Platform Study Exploring the Safety, Tolerability, Effect on the Tumor Microenvironment, and Efficacy of Pembrolizumab + INCB Combinations in Advanced Solid Tumors

**TARGETS**  
JAK1, PD-1, PI3K-delta

**LOCATIONS:** California, District of Columbia, Florida, Maryland, Massachusetts, Michigan, New York, North Carolina, Pennsylvania, Texas, Utah

**NCT02099058**

**PHASE 1**

A Multicenter, Phase 1/1b, Open-Label, Dose-Escalation Study of ABBV-399, an Antibody Drug Conjugate, in Subjects With Advanced Solid Tumors

**TARGETS**  
VEGFA, MET, EGFR, PD-1

**LOCATIONS:** California, Colorado, Meldola (Italy), Villejuif (France), Illinois, Massachusetts, Michigan, Missouri, North Carolina, Marseille CEDEX 05 (France), Tainan City (Taiwan), Taipei City (Taiwan), Tennessee, Texas, Virginia, Tampere (Finland)

TRF#

**CLINICAL TRIALS**
**NCT03264066**
**PHASE 2**

A Phase II, Open-Label, Multicenter, Multicohort Study to Investigate the Efficacy and Safety of Cobimetinib Plus Atezolizumab in Patients With Solid Tumors

**TARGETS**  
 PD-L1, MEK

**LOCATIONS:** Kansas, New York, Tennessee, Kortrijk (Belgium), Nyíregyháza (Hungary), Seoul (Korea, Republic of), London (United Kingdom)

**NCT03089645**
**PHASE 1**

A Phase 1 First Time in Human Study to Evaluate the Safety, Pharmacokinetics and Immunogenicity of MEDI5083 Alone and in Combination With Durvalumab in Selected Advanced Solid Tumors

**TARGETS**  
 PD-L1, CD40

**LOCATIONS:** New Jersey, Rhode Island, Tennessee, Clayton (Australia), Melbourne (Australia), Randwick (Australia)

**NCT02484404**
**PHASE 1/2**

Phase I/II Study of the Anti-Programmed Death Ligand-1 Antibody MEDI4736 in Combination With Olaparib and/or Cediranib for Advanced Solid Tumors and Advanced or Recurrent Ovarian, Triple Negative Breast, Lung, Prostate and Colorectal Cancers

**TARGETS**  
 PARP, PD-L1, VEGFRs

**LOCATIONS:** Maryland

**NCT03126591**
**PHASE 1**

An Open-Label, Multicenter, Phase 1a/1b Study of Olaratumab (LY3012207) Plus Pembrolizumab (MK3475) in Patients With Unresectable Locally Advanced or Metastatic Soft Tissue Sarcoma (STS) Who Have Failed Standard Treatments

**TARGETS**  
 PD-1, PDGFRα

**LOCATIONS:** New York, Pennsylvania, Leuven (Belgium), Herlev (Denmark), Villejuif Cedex (France)

**NCT02419495**
**PHASE 1**

Phase IB Study to Evaluate the Safety of Selinexor (KPT-330) in Combination With Multiple Standard Chemotherapy Agents in Patients With Advanced Malignancies

**TARGETS**  
 PD-1, XPO1, PARP

**LOCATIONS:** Texas

SAMPLE



TRF#

CLINICAL TRIALS

<p><b>GENE</b> <b>EGFR</b></p> <p><b>ALTERATION</b> amplification - equivocal</p>	<p><b>RATIONALE</b> EGFR amplification or activating mutations may predict sensitivity to EGFR-targeted therapies. Several strategies to circumvent resistance are</p>	<p>under investigation, including irreversible EGFR tyrosine kinase inhibitors and the use of HSP90 inhibitors.</p>
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**NCT02693535**

**PHASE 2**

Targeted Agent and Profiling Utilization Registry (TAPUR) Study

**TARGETS**  
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, PDGFRs, RET, mTOR, EGFR, ERBB3, ERBB2, BRAF, MEK, SMO, DDR2, RAF1, PARP, PD-1, CTLA-4

**LOCATIONS:** Alabama, Arizona, California, Florida, Georgia, Illinois, Michigan, Nebraska, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Dakota, Texas, Utah, Virginia, Washington

**NCT02099058**

**PHASE 1**

A Multicenter, Phase 1/1b, Open-Label, Dose-Escalation Study of ABBV-399, an Antibody Drug Conjugate, in Subjects With Advanced Solid Tumors

**TARGETS**  
VEGFA, MET, EGFR, PD-1

**LOCATIONS:** California, Colorado, Meldola (Italy), Villejuif (France), Illinois, Massachusetts, Michigan, Missouri, North Carolina, Marseille CEDEX 05 (France), Tainan City (Taiwan), Taipei City (Taiwan), Tennessee, Texas, Virginia, Tampere (Finland)

**NCT02451553**

**PHASE 1**

Phase I/IB Multi-center Study of Irreversible EGFR/HER2 Tyrosine Kinase Inhibitor Afatinib (BIBW 2992) in Combination With Capecitabine for Advanced Solid Tumors and Pancretico-Biliary Cancers

**TARGETS**  
EGFR, ERBB2, ERBB4

**LOCATIONS:** Indiana, Washington

**NCT02506517**

**PHASE 2**

Molecular Basket Trial In Multiple Malignancies With Common Target Pathway Aberrancies

**TARGETS**  
EGFR, ERBB2, ERBB4

**LOCATIONS:** Toronto (Canada)

**NCT01552434**

**PHASE 1**

A Phase I Trial of Bevacizumab, Temsirolimus Alone and in Combination With Valproic Acid or Cetuximab in Patients With Advanced Malignancy and Other Indications

**TARGETS**  
VEGFA, HDAC, mTOR, EGFR

**LOCATIONS:** Texas

**NCT02942095**

**PHASE 1**

A Phase I Study of Ixazomib and Erlotinib in Advanced Solid Tumor Patients

**TARGETS**  
EGFR, 20S proteasome

**LOCATIONS:** Texas

TRF#

CLINICAL TRIALS

GENE  
**NTRK1**

ALTERATION  
A107V - subclonal, rearrangement intron 6

**RATIONALE**  
NTRK1 activating fusions may predict sensitivity to TRK inhibitors or crizotinib. As it is unclear if the rearrangement seen here results in expression

of an oncogenic protein, it is not known whether these therapeutic approaches would be relevant.

**NCT02568267**

**PHASE 2**

An Open-Label, Multicenter, Global Phase 2 Basket Study of Entrectinib for the Treatment of Patients With Locally Advanced or Metastatic Solid Tumors That Harbor NTRK1/2/3, ROS1, or ALK Gene Rearrangements

**TARGETS**  
ALK, ROS1, TRKA, TRKB, TRKC

**LOCATIONS:** Arizona, California, Napoli (Italy), Colorado, Connecticut, District of Columbia, Florida, Georgia, Hawaii, Illinois, Roma (Italy), Genova (Italy), Milano (Italy), Fuenlabrada (Spain), Maryland, Massachusetts, Michigan, Minnesota, Missouri, Nevada, New Hampshire, Albury (Australia), Liverpool (Australia), New Lambton Heights (Australia), New York, North Carolina, Ohio, Oklahoma, Oregon, Candiolo (Italy), Orbassano (Italy), Torino (Italy), Bedford Park (Australia), Texas, Pisa (Italy), Perugia (Italy), Utah, Padova (Italy), Heidelberg (Australia), Virginia, Washington, Wisconsin, Bordeaux (France), Lille (France), Lyon (France), Marseille (France), Marseille cedex 5 (France), Montpellier cedex 5 (France), Paris (France), Paris cedex 15 (France), Toulouse (France), Villejuif cedex (France), Berlin (Germany), Dresden (Germany), Göttingen (Germany), Köln (Germany), Hong Kong (Hong Kong), Kowloon (Hong Kong), Shatin (Hong Kong), Aichi (Japan), Ehime (Japan), Fukuoka (Japan), Hyogo (Japan), Kashiwa-shi (Japan), Miyagi (Japan), Niigata (Japan), Osaka (Japan), Shizuoka (Japan), Cheongju-si (Korea, Republic of), Seoul (Korea, Republic of), Amsterdam (Netherlands), Leiden (Netherlands), Gdansk (Poland), Gliwice (Poland), Otwock (Poland), Poznań (Poland), Warszawa (Poland), Singapore (Singapore), Barcelona (Spain), Madrid (Spain), Malaga (Spain), Sevilla (Spain), Chang Hua (Taiwan), Taichung (Taiwan), Tainan (Taiwan), Taipei (Taiwan), Taipei City (Taiwan), Cambridge (United Kingdom), London (United Kingdom), Manchester (United Kingdom)

**NCT02637687**

**PHASE 1/2**

A Phase 1/2 Study of the Oral TRK Inhibitor LOXO101 (Larotrectinib) in Pediatric Patients With Advanced Solid or Primary Central Nervous System Tumors

**TARGETS**  
TRKA, TRKB, TRKC

**LOCATIONS:** California, Florida, Massachusetts, New York, Ohio, Tennessee, Texas, Washington, Parkville (Australia), Sydney (Australia), Montréal (Canada), Toronto (Canada), Copenhagen (Denmark), Paris (France), Villejuif (France), Berlin (Germany), Heidelberg (Germany), Stuttgart (Germany), Dublin (Ireland), Milano (Italy), Seoul (Korea, Republic of), Utrecht (Netherlands), Barcelona (Spain), Stockholm (Sweden), Zürich (Switzerland), Sutton (United Kingdom)

**NCT02576431**

**PHASE 2**

A Phase II Basket Study of the Oral TRK Inhibitor LOXO-101 in Subjects With NTRK Fusion-Positive Tumors

**TARGETS**  
TRKA, TRKB, TRKC

**LOCATIONS:** California, Kashiwa (Japan), District of Columbia, Florida, Illinois, Massachusetts, New York, North Carolina, Ohio, Pennsylvania, South Dakota, Tennessee, Texas, Virginia, Washington, West Virginia, Copenhagen (Denmark), Bordeaux Cedex (France), Dublin (Ireland), Seoul (Korea, Republic of), Porto (Portugal), Outram (Singapore), Barcelona (Spain), Madrid (Spain), London (United Kingdom), Southampton (United Kingdom)

**NCT00585195**

**PHASE 1**

Phase 1 Safety, Pharmacokinetic And Pharmacodynamic Study Of Pf-02341066, A C-met/Hgfr Selective Tyrosine Kinase Inhibitor, Administered Orally To Patients With Advanced Cancer

**TARGETS**  
ALK, AXL, MET, ROS1, TRKA, TRKC

**LOCATIONS:** Nagoya (Japan), California, Kashiwa (Japan), Colorado, Sapporo (Japan), Akashi (Japan), Massachusetts, Michigan, New York, North Carolina, Ohio, Osakasayama (Japan), Pennsylvania, Vermont, Melbourne (Australia), Seoul (Korea, Republic of)

**NCT03215511**

**PHASE 1/2**

A Phase 1/ 2 Study of the TRK Inhibitor LOXO 195 in Adult Subjects With NTRK Fusion (Previously Treated) or Non-Fusion NTRK Altered Cancers

**TARGETS**  
TRKA, TRKB, TRKC

**LOCATIONS:** California, Colorado, Massachusetts, Randwick (Australia), New York, Oregon, Tennessee, Texas, Virginia, Washington, Copenhagen (Denmark), Villejuif cedex (France), Seoul (Korea, Republic of), Singapore (Singapore), Barcelona (Spain), Madrid (Spain)

TRF#

CLINICAL TRIALS

**NCT03093116**

PHASE 1/2

A Phase 1/2, Open-Label, Multi-Center, First-in-Human Study of the Safety, Tolerability, Pharmacokinetics, and Anti-Tumor Activity of TPX-0005 in Patients With Advanced Solid Tumors Harboring ALK, ROS1, or NTRK1-3 Rearrangements (TRIDENT-1)

**TARGETS**  
ALK, ROS1, TRKA, TRKB, TRKC

**LOCATIONS:** California, Colorado, Massachusetts, New York, Seoul (Korea, Republic of)

**NCT02122913**

PHASE 1

A Phase 1 Study of the Oral TRK Inhibitor LOXO-101 in Adult Patients With Solid Tumors

**TARGETS**  
TRKA, TRKB, TRKC

**LOCATIONS:** Colorado, Massachusetts, Ohio, Oregon, Pennsylvania, Tennessee, Texas

SAMPLE

TRF#

**CLINICAL TRIALS**

<b>GENE</b> <b>PDCD1LG2 (PD-L2)</b>  <b>ALTERATION</b> amplification	<b>RATIONALE</b> PDCD1LG2 (PD-L2) amplification may promote PD-1 signaling and inhibit the anti-tumor immune response. Antibodies that block the interaction of PD-L2 and PD-1 may therefore be	beneficial to release the anti-tumor immune response. Furthermore, JAK2 inhibitors may be relevant, because they may reduce PD-L2 expression.
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**NCT03092323**
**PHASE 2**

SU2C-SARCO32: A Phase II Randomized Controlled Trial of Neoadjuvant Pembrolizumab With Radiotherapy and Adjuvant Pembrolizumab in Patients With High-Risk, Localized Soft Tissue Sarcoma of the Extremity

**TARGETS**  
 PD-1

**LOCATIONS:** California, Florida, Iowa, Maryland, Massachusetts, Michigan, Missouri, Camperdown (Australia), New York, North Carolina, Ohio, Pennsylvania, Montreal (Canada), Brisbane (Australia)

**NCT03084471**
**PHASE 3**

An Open-Label, Multi-Centre, Safety Study of Fixed-Dose Durvalumab + Tremelimumab Combination Therapy or Durvalumab Monotherapy in Advanced Solid Malignancies.

**TARGETS**  
 PD-L1, CTLA-4

**LOCATIONS:** Alaska, California, District of Columbia, Florida, Georgia, Iowa, Michigan, Montana, Nebraska, Moncton (Canada), New Jersey, New York, Oklahoma, Brampton (Canada), Hamilton (Canada), Kingston (Canada), London (Canada), Newmarket (Canada), Toronto (Canada), Oregon, Greenfield Park (Canada), South Carolina, Tennessee, Texas, Virginia, Washington, Quebec (Canada), Besançon Cedex (France), Bordeaux Cedex (France), Brest (France), Dijon (France), Lille Cedex (France), Nice (France), Paris (France), Pierre Benite (France), Saint Herblain Cedex (France), Strasbourg Cedex (France), Toulouse (France), Tours CEDEX (France), Villejuif (France), Berlin (Germany), Bielefeld (Germany), Dresden (Germany), Duisburg (Germany), Erlangen (Germany), Essen (Germany), Guetersloh (Germany), Hamburg (Germany), Jena (Germany), Kiel (Germany), Lübeck (Germany), Muenster (Germany), Münster (Germany), Rostock (Germany), Stuttgart (Germany), Wiesbaden (Germany), Würzburg (Germany), Ancona (Italy), Arezzo (Italy), Avellino (Italy), Catania (Italy), Lecce (Italy), Meldola (Italy), Milano (Italy), Modena (Italy), Ravenna (Italy), Roma (Italy), Rozzano (Italy), Busan (Korea, Republic of), Goyang-si (Korea, Republic of), Seoul (Korea, Republic of), Leiden (Netherlands), Basel (Switzerland), Genolier (Switzerland), London (United Kingdom), Newcastle (United Kingdom), Plymouth (United Kingdom), Sheffield (United Kingdom)

**NCT02646748**
**PHASE 1**

A Platform Study Exploring the Safety, Tolerability, Effect on the Tumor Microenvironment, and Efficacy of Pembrolizumab + INCB Combinations in Advanced Solid Tumors

**TARGETS**  
 JAK1, PD-1, PI3K-delta

**LOCATIONS:** California, District of Columbia, Florida, Maryland, Massachusetts, Michigan, New York, North Carolina, Pennsylvania, Texas, Utah

**NCT02099058**
**PHASE 1**

A Multicenter, Phase 1/1b, Open-Label, Dose-Escalation Study of ABBV-399, an Antibody Drug Conjugate, in Subjects With Advanced Solid Tumors

**TARGETS**  
 VEGFA, MET, EGFR, PD-1

**LOCATIONS:** California, Colorado, Meldola (Italy), Villejuif (France), Illinois, Massachusetts, Michigan, Missouri, North Carolina, Marseille CEDEX 05 (France), Tainan City (Taiwan), Taipei City (Taiwan), Tennessee, Texas, Virginia, Tampere (Finland)

**NCT03264066**
**PHASE 2**

A Phase II, Open-Label, Multicenter, Multicohort Study to Investigate the Efficacy and Safety of Cobimetinib Plus Atezolizumab in Patients With Solid Tumors

**TARGETS**  
 PD-L1, MEK

**LOCATIONS:** Kansas, New York, Tennessee, Kortrijk (Belgium), Nyíregyháza (Hungary), Seoul (Korea, Republic of), London (United Kingdom)

**NCT03089645**
**PHASE 1**

A Phase 1 First Time in Human Study to Evaluate the Safety, Pharmacokinetics and Immunogenicity of MEDI5083 Alone and in Combination With Durvalumab in Selected Advanced Solid Tumors

**TARGETS**  
 PD-L1, CD40

**LOCATIONS:** New Jersey, Rhode Island, Tennessee, Clayton (Australia), Melbourne (Australia), Randwick (Australia)

TRF#

**CLINICAL TRIALS**

<p><b>NCT02484404</b></p> <p>Phase I/II Study of the Anti-Programmed Death Ligand-1 Antibody MEDI4736 in Combination With Olaparib and/or Cediranib for Advanced Solid Tumors and Advanced or Recurrent Ovarian, Triple Negative Breast, Lung, Prostate and Colorectal Cancers</p> <p><b>LOCATIONS:</b> Maryland</p>	<p><b>PHASE 1/2</b></p> <p><b>TARGETS</b> PARP, PD-L1, VEGFRs</p>
<p><b>NCT03126591</b></p> <p>An Open-Label, Multicenter, Phase 1a/1b Study of Olaratumab (LY3012207) Plus Pembrolizumab (MK3475) in Patients With Unresectable Locally Advanced or Metastatic Soft Tissue Sarcoma (STS) Who Have Failed Standard Treatments</p> <p><b>LOCATIONS:</b> New York, Pennsylvania, Leuven (Belgium), Herlev (Denmark), Villejuif Cedex (France)</p>	<p><b>PHASE 1</b></p> <p><b>TARGETS</b> PD-1, PDGFRα</p>
<p><b>NCT02419495</b></p> <p>Phase IB Study to Evaluate the Safety of Selinexor (KPT-330) in Combination With Multiple Standard Chemotherapy Agents in Patients With Advanced Malignancies</p> <p><b>LOCATIONS:</b> Texas</p>	<p><b>PHASE 1</b></p> <p><b>TARGETS</b> PD-1, XPO1, PARP</p>
<p><b>NCT03010176</b></p> <p>Phase 1 Open Label, Multicenter Study of MK-1454 Administered by Intratumoral Injection as Monotherapy and in Combination With Pembrolizumab for Patients With Advanced/Metastatic Solid Tumors or Lymphomas</p> <p><b>LOCATIONS:</b> California, New York, Texas, Villejuif (France), Ramat Gan (Israel), London (United Kingdom)</p>	<p><b>PHASE 1</b></p> <p><b>TARGETS</b> STING, PD-1</p>

SAMPLE

TRF#

APPENDIX

Variants of Unknown Significance

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

<b>AKT1</b> K420del	<b>AKT2</b> P115fs*33	<b>ARAF</b> G245S	<b>ATM</b> R2719H
<b>BRIP1</b> V607G	<b>CAD</b> K841N and R781H	<b>CBL</b> T129fs*2	<b>CCT6B</b> V367G
<b>CIITA</b> Y34C	<b>CREBBP</b> A1603T, T2434M, and V95M	<b>DNM2</b> D215N	<b>DNMT3A</b> R458Q
<b>FBXO31</b> D347N	<b>FGF3</b> R104*	<b>FGFR2</b> R190Q	<b>FGFR4</b> A229T
<b>FHIT</b> amplification	<b>GNA11</b> G208fs*16	<b>HDAC7</b> A299T	<b>HRAS</b> R73H
<b>IKBKE</b> A410V	<b>IRS2</b> R970Q	<b>KDM5A</b> G8fs*58	<b>KDM5C</b> K370N
<b>KMT2C (MLL3)</b> R841W	<b>LRP1B</b> M131I	<b>LRRK2</b> N59K	<b>MLL2</b> R2847H
<b>NCOR2</b> A1010T and A832T	<b>NF1</b> H389R	<b>PBRM1</b> amplification	<b>PC</b> A22T
<b>PDGFRA</b> R764C	<b>PTPRO</b> A11S	<b>RARA</b> P440L	<b>S1PR2</b> V195A
<b>SETD2</b> N1733T	<b>SF3B1</b> R397H	<b>SGK1</b> V411I	<b>SPEN</b> R1917H
<b>STAG2</b> V1171A	<b>U2AF1</b> V101A	<b>VHL</b> amplification	<b>WDR90</b> R218C
<b>ZNF703</b> A500fs*43 and G439V			

TRF#

APPENDIX

Genes Assayed in FoundationOne®Heme

FoundationOne Heme is designed to include genes known to be somatically altered in human hematologic malignancies, sarcomas, and pediatric cancers that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay utilizes DNA sequencing to interrogate 406 genes as well as selected introns of 31 genes involved in rearrangements, in addition to RNA sequencing of 265 genes. The assay will be updated periodically to reflect new knowledge about cancer biology.

**HEMATOLOGICAL MALIGNANCY DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

ABL1	ACTB	AKT1	AKT2	AKT3	ALK	AMER1 (FAM123B or WTX)	APC
APH1A	AR	ARAF	ARFRP1	ARHGAP26 (GRAF)	ARID1A	ARID2	ASMTL
ASXL1	ATM	ATR	ATRX	AURKA	AURKB	AXIN1	AXL
BAP1	BARD1	BCL10	BCL11B	BCL2	BCL2L2	BCL6	BCL7A
BCORL1	BIRC3	BLM	BRAF	BRCA1	BRCA2	BRD4	BRIP1
BTG2	BTK	BTLA	C11orf30 (EMSY)	CAD	CALR*	CARD11	CBFB
CCND1	CCND2	CCND3	CCNE1	CCT6B	CD22	CD274 (PD-L1)	CD36
CD70	CD79A	CD79B	CDC73	CDH1	CDK12	CDK4	CDK6
CDKN1B	CDKN2A	CDKN2B	CDKN2C	CEBPA	CHD2	CHEK1	CHEK2
CIITA	CKS1B	CPS1	CREBBP	CRKL	CRLF2	CSF1R	CSF3R
CTNNA1	CTNNB1	CUX1	CXCR4	DAXX	DDR2	DDX3X	DNM2
DOT1L	DTX1	DUSP2	DUSP9	EBF1	ECT2L	EED	EGFR
EP300	EPHA3	EPHA5	EPHA7	EPHB1	ERBB2	ERBB3	ERBB4
ESR1	ETS1	ETV6	EXOSC6	EZH2	FAF1	FAM46C	FANCA
FANCD2	FANCE	FANCF	FANCG	FANCL	FAS (TNFRSF6)	FBXO11	FBXO31
FGF10	FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1
FGFR3	FGFR4	FHIT	FLCN	FLT1	FLT3	FLT4	FLYWCH1
FOXO1	FOXO3	FOXP1	FRS2	GADD45B	GATA1	GATA2	GATA3
GNA11	GNA12	GNA13	GNAQ	GNAS	GPR124	GRIN2A	GSK3B
HDAC1	HDAC4	HDAC7	HGF	HIST1H1C	HIST1H1D	HIST1H1E	HIST1H2AC
HIST1H2AL	HIST1H2AM	HIST1H2BC	HIST1H2BJ	HIST1H2BK	HIST1H2BO	HIST1H3B	HNF1A
HSP90AA1	ICK	ID3	IDH1	IDH2	IGF1R	IKBKE	IKZF1
IKZF3	IL7R	INHBA	INPP4B	INPP5D (SHIP)	IRF1	IRF4	IRF8
JAK1	JAK2	JAK3	JARID2	JUN	KAT6A (MYST3)	KDM2B	KDM4C
KDM5C	KDM6A	KDR	KEAP1	KIT	KLHL6	KMT2A (MLL)	KMT2C (MLL3)
KRAS	LEF1	LRP1B	LRRK2	MAF	MAFB	MAGED1	MALT1
MAP2K2	MAP2K4	MAP3K1	MAP3K14	MAP3K6	MAP3K7	MAPK1	MCL1
MDM4	MED12	MEF2B	MEF2C	MEN1	MET	MIB1	MITF
MLH1	MPL	MRE11A	MSH2	MSH3	MSH6	MTOR	MUTYH
MYCL (MYCL1)	MYCN	MYD88	MYO18A	NCOR2	NCSTN	NF1	NF2
NFKB1A	NKX2-1	NOD1	NOTCH1	NOTCH2	NPM1	NRAS	NTSC2
NTRK2	NTRK3	NUP93	NUP98	P2RY8	PAG1	PAK3	PALB2
PAX5	PBRM1	PC	PCBP1	PCLO	PDCD1	PDCD11	PDCD1LG2 (PD-L2)
PDGFRB	PDK1	PHF6	PIK3CA	PIK3CG	PIK3R1	PIK3R2	PIM1
POT1	PPP2R1A	PRDM1	PRKAR1A	PRKDC	PRSS8	PTCH1	PTEN
PTPN2	PTPN6 (SHP-1)	PTPRO	RAD21	RAD50	RAD51	RAF1	RARA
RB1	RELN	RET	RHOA	RICTOR	RNF43	ROS1	RPTOR
SIPR2	SDHA	SDHB	SDHC	SDHD	SERP2	SETBP1	SETD2
SGK1	SMAD2	SMAD4	SMARCA1	SMARCA4	SMARCB1	SMC1A	SMC3
SOCS1	SOCS2	SOCS3	SOX10	SOX2	SPEN	SPOP	SRC
STAG2	STAT3	STAT4	STAT5A	STAT5B	STAT6	STK11	SUFU
TAF1	TBL1XR1	TCF3 (E2A)	TCL1A (TCL1)	TET2	TGFBR2	TLL2	TMEM30A
TMSB4XP8 (TMSL3)		TNFAIP3	TNFRSF11A	TNFRSF14	TNFRSF17	TOP1	TP53
TRAF2	TRAF3	TRAF5	TSC1	TSC2	TSHR	TUSC3	TYK2
U2AF2	VHL	WDR90	WHSC1 (MMSET or NSD2)	WISP3	WISP3	WT1	XBPI
YY1AP1	ZMYM3	ZNF217	ZNF24 (ZSCAN3)	ZNF703	ZRSR2		XPO1

\*Note: the assay was updated on 11/8/2016 to include the detection of alterations in CALR

TRF#

APPENDIX

Genes Assayed in FoundationOne®Heme

**HEMATOLOGICAL MALIGNANCY DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**

ALK	BCL2	BCL6	BCR	BRAF	CCND1	CRLF2	EGFR	EPOR
ETV1	ETV4	ETV5	ETV6	EWSR1	FGFR2	IGH	IGK	IGL
JAK1	JAK2	KMT2A (MLL)	MYC	NTRK1	PDGFRA	PDGFRB	RAF1	RARA
RET	ROS1	TMPRSS2	TRG					

**HEMATOLOGICAL MALIGNANCY RNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS**

ABI1	ABL1	ABL2	ACSL6	AFF1	AFF4	ALK	ARHGAP26 (GRAF)	
ARHGEF12	ARID1A	ARNT	ASXL1	ATF1	ATG5	ATIC	BCL10	BCL11A
BCL11B	BCL2	BCL3	BCL6	BCL7A	BCL9	BCOR	BCR	BIRC3
BRAF	BTG1	CAMTA1	CARS	CBFA2T3	CBFB	CBL	CCND1	CCND2
CCND3	CD274 (PD-L1)	CDK6	CDX2	CHIC2	CHN1	CIC	CIITA	CLP1
CLTC	CLTCL1	CNTRL (CEP110)	COL1A1	CREB3L1	CREB3L2	CREBBP	CRLF2	CSF1
CTNNB1	DDIT3	DDX10	DDX6	DEK	DUSP22	EGFR	EIF4A2	ELF4
ELL	ELN	EML4	EP300	EPOR	EPS15	ERBB2	ERG	ETS1
ETV1	ETV4	ETV5	ETV6	EWSR1	FCGR2B	FCRL4	FEV	FGFR1
FGFR10P	FGFR2	FGFR3	FLI1	FNBP1	FOXO1	FOXO3	FOXO4	FOXP1
FSTL3	FUS	GAS7	GLI1	GMPS	GPHN	HERPUD1	HEY1	HIP1
HIST1H4I	HLF	HMGA1	HMGA2	HOXA11	HOXA13	HOXA3	HOXA9	HOXC11
HOXC13	HOXD11	HOXD13	HSP90AA1	HSP90AB1	IGH	IGK	IGL	IKZF1
IL21R	IL3	IRF4	ITK	JAK1	JAK2	JAK3	JAZF1	KAT6A (MYST3)
KDSR	KIF5B	KMT2A (MLL)	LASP1	LCP1	LMO1	LMO2	LPP	LYL1
MAF	MAFB	MALT1	MDS2	MECOM	MKL1	MLF1	MLL1 (ENL)	MLL10 (AF10)
MLLT3	MLLT4 (AF6)	MLLT6	MN1	MXN1	MSI2	MSN	MUC1	MYB
MYC	MYH11	MYH9	NACA	NBEAP1 (BCL8)	NCOA2	NDRG1	NF1	NF2
NFKB2	NIN	NOTCH1	NPM1	NR4A3	NSD1	NTRK1	NTRK2	NTRK3
NUMA1	NUP214	NUP98	NUTM2A	OMD	P2RY8	PAFAH1B2	PAX3	PAX5
PAX7	PBX1	PCM1	PCSK7	PDCD1LG2 (PD-L2)	PDE4DIP	PDGFB	PDGFRA	PDGFRB
PER1	PHF1	PICALM	PIM1	PLAG1	PML	POU2AF1	PPP1CB	PRDM1
PRDM16	PRRX1	PSIP1	PTCH1	PTK7	RABEP1	RAF1	RALGDS	RAP1GDS1
RARA	RBM15	RET	RHOH	RNF213	ROS1	RPL22	RPN1	RUNX1
RUNX1T1 (ETO)	RUNX2	SEC31A	SEPT5	SEPT6	SEPT9	SET	SH3GL1	SLC1A2
SNX29 (RUNDC2A)	SRSF3	SS18	SSX1	SSX2	SSX4	STAT6	STL	SYK
TAF15	TAL1	TAL2	TBL1XR1	TCF3 (E2A)	TCL1A (TCL1)	TEC	TET1	TFE3
TFG	TFPT	TFRC	TLX1	TLX3	TMPRSS2	TNFRSF11A	TOP1	TP63
TPM3	TPM4	TRIM24	TRIP11	TTL	TYK2	USP6	WHSC1 (MMSET or NSD2)	
WHSC1L1	YPEL5	ZBTB16	ZMYM2	ZNF384	ZNF521			

**ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS**

- Microsatellite (MS) status
- Tumor Mutational Burden (TMB)



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APPENDIX

Performance Specifications

The median exon coverage for this sample is 853x

ACCURACY

Sensitivity: Base Substitutions	At $\geq$ 5% Minor Allele Frequency	>99.0%
Sensitivity: Insertions/Deletions (1-40bp)	At $\geq$ 10% Minor Allele Frequency	98.0%
Sensitivity: Focal Copy Number Alterations (Homozygous Deletions or Amplifications)	At $\geq$ 8% copies	>95.0%
Sensitivity: Microsatellite status	At $\geq$ 20% tumor nuclei	97.0%
Sensitivity: Known Gene Fusions	>95.0%	
Specificity: Base Substitutions, Insertions/Deletions, and Focal Copy Number Alterations	Positive Predictive Value (PPV)	>99.0%
Specificity: Known Gene Fusions	Positive Predictive Value (PPV)	>95.0%
Specificity: Microsatellite status	Positive Predictive Value (PPV)	>95.0%
Accuracy: Tumor Mutation Burden	At $\geq$ 20% tumor nuclei	>90.0%
Reproducibility (average concordance between replicates)	97.0% inter-batch precision 97.0% intra-batch precision 95.0% microsatellite status precision 96.0% tumor mutation burden precision	

Assay specifications were determined for pical median exon coverage of approximately 500X. For additional information regarding the validation of FoundationOne, please refer to the article, Frampton, GM. et al. Development and validation of a clinical cancer genomic profiling test based on massively parallel DNA sequencing, Nat Biotechnol (2013 Oct. 20).

Microsatellite status, which is a measure of microsatellite instability (MSI), is determined by assessing indel characteristics at 114 homopolymer repeat loci in or near the targeted gene regions of the FoundationOne Heme test. Microsatellite status is assayed for all FoundationOne Heme samples and may be reported as "MSI-High", "MSI-Intermediate", "MS-Stable", or "Cannot Be Determined". Microsatellite status is reported as "Cannot Be Determined" if the sample is not of sufficient quality to be confidently determined.

Tumor Mutational Burden (TMB) is determined by measuring the number of somatic mutations occurring in sequenced genes on the FoundationOne and FoundationOne Heme tests and extrapolating to the genome as a whole. TMB is assayed for all FoundationOne and FoundationOne Heme samples and may be reported as "TMB-High", "TMB-Intermediate", "TMB-Low", or "Cannot Be Determined". TMB results, which are rounded to the nearest integer, are determined as follows: TMB-High corresponds to greater than or equal to 20 mutations per megabase (Muts/Mb); TMB-Intermediate corresponds to 6-19 Muts/Mb; TMB-Low corresponds to fewer than or equal to 5 Muts/Mb. Tumor Mutational Burden is reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine Tumor Mutational Burden.

For additional information specific to the performance of this specimen, please contact Foundation Medicine, Inc. at 1-888-988-3639.

**ABOUT FOUNDATIONONE HEME**

FoundationOne Heme is a comprehensive genomic profiling test for hematologic malignancies, sarcomas and pediatric cancers. The test is designed to provide physicians with clinically actionable information to help with diagnostic sub-classification, prognosis assessment, and targeted therapeutic selection. Test results provide information about clinically significant alterations, potential targeted therapies, available clinical trials, and quantitative markers that may support immunotherapy clinical trial enrollment. FoundationOne Heme is analytically validated to detect all classes of genomic alterations in more than 400 cancer-related genes. In addition to DNA sequencing, FoundationOne Heme employs RNA sequencing across more than 250 genes to capture a broad range of gene fusions, common drivers of hematologic malignancies and sarcomas, pediatric cancers.

FoundationOne Heme was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Heme has not been cleared or approved by the United States Food and Drug Administration (FDA). The FDA has determined that such clearance or approval is not necessary. FoundationOne Heme may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratory is certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA) as qualified to perform high-complexity clinical testing.

**THE REPORT**

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

**Diagnostic Significance**

FoundationOne Heme identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

**Qualified Alteration Calls (Equivocal and Subclonal)**

An alteration denoted as "amplification – equivocal" implies that FoundationOne Heme data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne Heme for identifying a copy number amplification is five (5) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that FoundationOne Heme data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that FoundationOne Heme analytical methodology has identified as being present in <10% of the assayed tumor DNA.

**Ranking of Alterations and Therapies Biomarker Findings**

Appear at the top of the report, but are not ranked higher than Genomic Findings.

**Genomic Findings**

Therapies with Clinical Benefit In Patient's Tumor Type → Therapies with Clinical Benefit in Other Tumor Type → Clinical Trial Options → No Known Options (If multiple findings exist within any of these categories, the results are listed alphabetically by gene name.)

**Therapies**

Sensitizing therapies → Resistant therapies. (If multiple therapies exist within any of these categories, they are listed alphabetically by therapy name.)

**Clinical Trials**

Pediatric trial qualification → Geographical proximity → Later trial phase.

**LEVEL OF EVIDENCE NOT PROVIDED**

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

**NO GUARANTEE OF CLINICAL BENEFIT**

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

**NO GUARANTEE OF REIMBURSEMENT**

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Heme.

**TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN**

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. These include: subclonal alterations in heterogeneous samples, low sample quality or with homozygous losses of <3 exons; and deletions and insertions >40bp, or in repetitive/high homology sequences. FoundationOne Heme is performed using DNA and RNA derived from tumor, and as such germline events may not be reported.

The following targets typically have low coverage resulting in a reduction in sensitivity: SDHD exon 4, TNFRSF11A exon1, and TP53 exon 1.

FoundationOne Heme complies with all European Union (EU) requirements of the IVD Directive 98/79EC. As such, the FoundationOne Heme Assay has been registered for CE mark by our EU Authorized Representative, Qarad b.v.b.a, Ciplastraat 3, 2440 Geel, Belgium.



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APPENDIX

About FoundationOne®Heme

**SELECT ABBREVIATIONS**

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mutS/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

SAMPLE

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**APPENDIX**
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